### Edgar Filing: MARRONE BIO INNOVATIONS INC - Form 3

### MARRONE BIO INNOVATIONS INC

Form 3

December 28, 2015

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Vasavada Amit

C/O MARRONE BIO

DREW AVENUE

(Last)

(First)

INNOVATIONS, INC., 1540

(Street)

(Middle)

Statement

(Month/Day/Year)

12/17/2015

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

MARRONE BIO INNOVATIONS INC [MBII]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

Director

10% Owner

\_X\_\_ Officer Other (give title below) (specify below) VP Research and Development 6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person Table I - Non-Derivative Securities Beneficially Owned

DAVIS, CAÂ 95618

(City)

1. Title of Security

(Instr. 4)

(State) (Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Expiration

Price of Derivative Security

Derivative

Exercisable Date Title

Amount or Number of Security: Direct (D)

### Edgar Filing: MARRONE BIO INNOVATIONS INC - Form 3

				Shares		or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(1)	03/05/2024	Common Stock	40,000	\$ 14.69	D	Â
Stock Option (Right to Buy)	(2)	09/23/2024	Common Stock	12,000	\$ 2.87	D	Â

# **Reporting Owners**

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
Vasavada Amit C/O MARRONE BIO INNOVATIONS, INC. 1540 DREW AVENUE DAVIS Â CAÂ 95618	Â	Â	VP Research and Development	Â			

# **Signatures**

/s/ Linda V. Moore, as attorney in-fact 12/28/2015

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The option vests with respect to 1/4th of the total shares subject to the option on the first anniversary of the vesting commencement date of March 24, 2014, and with respect to 1/48th of the total shares subject to the option monthly thereafter for 36 months.
- (2) The option vests with respect to 100% of the total shares subject to the option on December 31, 2015.

Â

### **Remarks:**

No securities are beneficially owned. Exhibit list: Exhibit 24 Power of Attorney.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2