Edgar Filing: FIRST CITIZENS BANCSHARES INC /DE/ - Form 4

FIRST CITIZENS BANCSHARES INC /DE/

Form 4

Class A Common

Stock

Class A Common

Stock

December 10, 2015

December 10	0, 2015											
FORM	IΔ									APPROVAL		
	Washington, D.C. 20549							N OMB Number:	3235-0287			
Check th if no long subject to Section 1 Form 4 o	ger STATEM 16.	STATEMENT OF CHANGES IN BENEFICIAL OWN SECURITIES						NERSHIP OF	Expires: Estimated burden hor response.	ours per		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
(Print or Type I	Responses)											
1. Name and Address of Reporting Person * HOLDING FRANK B			Symbol	· Name and		_	ES	5. Relationship of Issuer	of Reporting Pe	erson(s) to		
		FIRST CITIZENS BANCSHARES INC /DE/ [FCNCA]				Lo	(Check all applicable)					
(Last)		fiddle)	(Month/D	-	ransaction			Director Officer (gives		0% Owner ther (specify		
POST OFFICE BOX 1377			12/09/2015									
		4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
SMITHFIE	LD, NC 27577							Form filed by Person	More than One	Reporting		
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	Securitie	es Acq	quired, Disposed	of, or Benefici	ally Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	med on Date, if Day/Year)	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Class A Common Stock				Coue V	Amount	(D) Р		685,038	I	By Frank B. Holding Revocable Trust		

191,761 <u>(1)</u>

566,125 <u>(1)</u>

I

I

By spouse

By Ella Ann Holding

Revocable

Trust

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Class A Common Stock						12,530 (2)	I	By Twin States Farming, Inc.
Class A Common Stock						827 <u>(2)</u>	I	By E&F Properties, Inc.
Class A Common Stock						2,675 <u>(2)</u>	I	By Holding Properties, LLC
Class B Common Stock						4	I	By Frank B. Holding Revocable Trust
Class B Common Stock	12/09/2015	P	500	A	\$ 232	3,977 (1)	I	By Ella Ann Holding Revocable Trust
Class B Common Stock	12/09/2015	P	25	A	\$ 239	4,002 (1)	I	By Ella Ann Holding Revocable Trust
Class B Common Stock						1,355 <u>(2)</u>	I	By Twin States Farming, Inc.
Class B Common Stock						200 (2)	I	By E&F Properties, Inc.
Class B Common Stock						2,156 <u>(2)</u>	I	By Holding Properties, Inc.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transactio	5. orNumber	6. Date Exercisable and Expiration Date	7. Title and Amount of	8. Price of Derivative	
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	Bene
	Derivative				Securities	8	(Instr. 3 and 4)		Own

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Security

Acquired
(A) or
Disposed
of (D)
(Instr. 3,
4, and 5)

Code V (A) (D) Date Expiration Title Amount
Exercisable Date or

Follo

Repo

Trans

(Insti

Number of Shares

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

HOLDING FRANK B

POST OFFICE BOX 1377

SMITHFIELD, NC 27577

Signatures

Frank B. Holding, by: William R. Lathan, Jr.,
Attorney-in-fact
12/10/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the (1) reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.
- The reporting person is or was a director, officer and/or shareholder of the companies that own these shares, but he disclaims beneficial ownership of the listed shares except to the extent of his pecuniary interest therein, if any, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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