## Edgar Filing: First Foundation Inc. - Form 4

| Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may continue.<br>See Instruction<br>1(b).STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIESNumber:<br>Lanuary 31<br>200.<br>Expires:January 31<br>200.<br>Expires:Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>30(h) of the Investment Company Act of 1935 or Section<br>1(b).Filed pursuant to Section 16(a) of the Investment Company Act of 1940Image: Description of the Securities Exchange Act of 1934,<br>30(h) of the Investment Company Act of 1940 | First Foundation                                   | Inc.           |                              |                                   |             |       |   |   |                  |                    |  |
|--|--|----------------|------------------------------|-----------------------------------|-------------|-------|---|---|------------------|--------------------|--|
| FORM 4       UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549       OMB APPROVAL         Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       MB Number:       January 31 200         Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 16(b).       Stimated average burden hours per response       0.                                     | Form 4   |                |                              |                                   |             |       |   |   |                  |                    |  |
| CORNA 4UNITED STATES SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549OMB<br>Number:3235-028Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may continue.<br>See Instruction<br>1(b).STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIESOMB<br>Number:<br>January 31<br>200Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940OMB<br>Number:<br>January 31<br>200               | August 13, 2015                                    | ý              |                              |                                   |             |       |   |   |                  |                    |  |
| OMB 3235-028Washington, D.C. 20549Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may continue.<br>See Instruction<br>1(b).OMB 3235-028Number:<br>January 31<br>200Expires:<br>SECURITIESSTATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940(b).  | FORM 4   |                |                              |                                   |             |       |   |   |                  |                    |  |
| if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5<br>obligations<br>may continue.<br>See Instruction<br>1(b).STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIESExpires:<br>200.<br>Estimated average<br>burden hours per<br>responseSTATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIESExpires:<br>200.Form 4 or<br>Form 5<br>obligations<br>may continue.<br>See Instruction<br>1(b).Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>30(h) of the Investment Company Act of 1940   |  | UNITEDS        |                              |                                   |             |       | NGE C   | OMMISSION                                   |                  | 3235-0287          |  |
| STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF       Statement of subject to         Subject to       Section 16.         Form 4 or       Section 16.         Form 5       Statement of the Securities Exchange Act of 1934,         Section 17(a) of the Public Utility Holding Company Act of 1935 or Section       0.         Statement Company Act of 1940       30(h) of the Investment Company Act of 1940  |  |                |                              |                                   |             |       |   |   | Expires:         | January 31,        |  |
| Form 5<br>obligations<br>may continue.<br>See Instruction<br>1(b).<br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940   | subject to Section 16.                             | STATEMI        |                              |                                   |             |       |   |   |                  | verage             |  |
| obligations<br>may continue.Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940<br>1(b).   |  | 1711 1         |                              |                                   | а ···       | г     | 1   | A ( C1024                                   | response         | 0.5                |  |
| <i>See</i> Instruction 1(b). 30(h) of the Investment Company Act of 1940   |  | -              |                              |                                   |             |       | -   |   |                  |                    |  |
| 1(b).  |  |                |                              | •                                 | •           | • •   |   |   | 1                |                    |  |
|  |  | n              | 50(II) of the III            | vestment                          | Company     | y Act | . 01 1 940                                    | 0   |                  |                    |  |
| (Print or Type Responses)  | 1(0).  |                |                              |                                   |             |       |   |   |                  |                    |  |
|  | (Print or Type Resp                                | onses)         |                              |                                   |             |       |   |   |                  |                    |  |
| 1. Name and Address of Reporting Person *<br>DEPILLO DAVID S2. Issuer Name and Ticker or Trading<br>Symbol5. Relationship of Reporting Person(s) to<br>Issuer  |  |                | Symbol                       |                                   |             |       |   |   |                  |                    |  |
| First Foundation Inc. [FFWM]   |  | •              |                              |                                   |             |       |   |   |                  |                    |  |
| (Last) (First) (Middle) 3. Date of Earliest Transaction (Check all applicable)   | (Last)   | (First) (Mi    |                              |                                   | -           | -     |   | (Check                                      | c all applicable | )                  |  |
| (Month/Day/Year) Director 10% Owner  | (2000)   | (1150)         |                              |                                   | ansaction   |       |   | Director                                    | 10%              | Owner              |  |
| 18101 VON KARMAN 08/12/2015 Officer (give title Other (specify   | 18101 VON KA                                       |                |                              |                                   |             |       | _X_ Officer (give title Other (specify below) |   |                  |                    |  |
| AVENUE, SUITE 750 Below) Below) President FFB  | AVENUE, SUI  | TE 750         |                              |                                   |             |       |   |   |                  |                    |  |
| (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check  |  | 4 If Ame       | 4 If Amendment Date Original |                                   |             |       | 6. Individual or Joint/Group Filing(Check     |   |                  |                    |  |
|  |  |                | -                            |                                   |             |       | Applicable Line)                              |   |                  |                    |  |
| IRVINE CA 92612       _X_ Form filed by One Reporting Person         Form filed by More than One Reporting   | IRVINE CA 92612 Form filed by 0<br>Form filed by 0 |                |                              |                                   |             |       |   |   |                  |                    |  |
| reison   |  |                | <b></b> : \                  |                                   |             |       |   | Person                                      |                  |                    |  |
| (City) (State) (Zip) <b>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b>   | (City)   | (State) (2     | Zip) Tabl                    | e I - Non-D                       | erivative S | ecuri | ties Acqu                                     | iired, Disposed of,                         | , or Beneficiall | y Owned            |  |
| Security<br>(Instr. 3)(Month/Day/Year)Execution Date, if<br>anyTransactior(A) or Disposed of (D)<br>CodeSecuritiesOwnershipIndirectInstr. 3anyCode(Instr. 3, 4 and 5)BeneficiallyForm: DirectBeneficial  | Security (M  | onth/Day/Year) | Execution Date, if any       | Transaction(A) or Disposed of (D) |             |       | of (D)  | Securities<br>Beneficially                  | Ownership        |                    |  |
| (A)<br>(A)<br>(A)<br>(A)<br>(A)<br>(A)<br>(A)<br>(A)   |  |                | (wonurDay Teal)              |                                   |             |       |   | Following<br>Reported<br>Transaction(s)     |                  |                    |  |
| Code V Amount (D) Price (Instr. 3 and 4)   |  |                |                              | Code V                            | Amount      | (D)   | Price   | (instr. $\mathfrak{I}$ and $\mathfrak{4}$ ) |                  |                    |  |
| Common<br>Stock         08/12/2015         08/12/2015         P         72,750         A         \$ 344,785         I         by Family<br>Trust   | 08   | /12/2015       | 08/12/2015                   | Р                                 | 72,750      | A     | \$<br>19.25                                   | 344,785                                     | Ι                | by Family<br>Trust |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | Under<br>Secur | ınt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owna<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|----------------|--|---|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title          | Amount<br>or<br>Number<br>of<br>Shares |   |  |

## **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |               |       |  |  |  |  |
|---|---------------|-----------|---------------|-------|--|--|--|--|
| 1   | Director      | 10% Owner | Officer       | Other |  |  |  |  |
| DEPILLO DAVID S<br>18101 VON KARMAN AVENUE<br>SUITE 750<br>IRVINE, CA 92612 |               |           | President FFB |       |  |  |  |  |
| Signatures  |               |           |               |       |  |  |  |  |
| '/s/ John M. Michel, attorney-in-fact<br>DePillo'                           | avid S.       | 08/13/20  | )15           |       |  |  |  |  |
| **Signature of Reporting Pe   | erson         |           | Date          |       |  |  |  |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.