CELGENE CORP /DE/

Form 4 June 16, 2015

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

3235-0287 Number:

January 31, Expires: 2005

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b). (Print or Type Responses)

1. Name and Address of Reporting Person \* Friedman Michael A

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First) (Middle)

(Zip)

CELGENE CORP /DE/ [CELG]

(Check all applicable)

3. Date of Earliest Transaction (Month/Day/Year)

06/12/2015

\_X\_\_ Director 10% Owner Officer (give title Other (specify

C/O CELGENE

**CORPORATION, 86 MORRIS AVENUE** 

(Street)

(State)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**SUMMIT, NJ 07901** 

(City)

(City)	(State) (2	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of	2. Transaction Date		3.	4. Securi			5. Amount of	6. Ownership	
Security (Instr. 3)	(Month/Day/Year)	· · · · · · · · · · · · · · · · · · ·	Transactio Code	FransactionAcquired (A) or			Securities Beneficially	Form: Direct (D) or	Indirect Beneficial
(Illsu. 3)		any (Month/Day/Year)	(Instr. 8)	Disposed of (D) (Instr. 3, 4 and 5)			Owned	Indirect (I)	Ownership
		(Internal, 2 m), 1 cm)	(1115111 0)	(mstr. 3, 1 and 3)			Following	(Instr. 4)	(Instr. 4)
					(4)		Reported		
					(A)		Transaction(s)		
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	06/12/2015		M	2,066	A	\$ 0 (1)	0	D	
Common Stock	06/13/2015		M	2,068	A	\$ 0 (2)	0	D	
Common Stock							10,332	I	Family Trust (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**SEC 1474** (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number out of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	<u>(1)</u> <u>(4)</u> <u>(5)</u>	06/12/2015		M	2,066	<u>(1)</u>	<u>(1)</u>	Common Stock	2,066	\$ (
Restricted Stock Unit	(2) (4)	06/13/2015		M	2,068	(2)	(2)	Common Stock	2,068	\$ (

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Friedman Michael A C/O CELGENE CORPORATION 86 MORRIS AVENUE SUMMIT, NJ 07901	X					

## **Signatures**

/s/Robert J Hugin\_\_\_\_\_ Robert J Hugin,
Attorney-in-Fact \_\_\_\_\_\*Signature of Reporting Person \_\_\_\_\_\_\_ Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One-third of the restricted stock units granted to the reporting person on June 12, 2013 vested and settled for shares of the Company's common stock.
- (2) One-third of the restricted stock units granted to the reporting person on June 13, 2012 vested and settled for shares of the Company's common stock.
- (3) The reporting person disclaims beneficial ownership of these securities and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.
- (4) Each restricted stock unit represents a contingent right to receive one share of the Company's common stock.

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- (5) The remainder of the restricted stock units will vest as follows: 2,068 shares on June 12, 2016. Vested shares will be delivered to the reporting person promptly after the vesting date.
- (6) The restricted stock units were issued pursuant to the Company's 2008 Stock Incentive Plan (as amended and restated as of April 17, 2013).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.