### Edgar Filing: GLOBE SPECIALTY METALS INC - Form 4/A

#### GLOBE SPECIALTY METALS INC

Form 4/A July 30, 2014

## FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number: January 31,

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Expires: 2005 Estimated average burden hours per

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Kestenbaum Alan

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

GLOBE SPECIALTY METALS INC [GSM]

(Check all applicable)

**Executive Chairman** 

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

07/11/2014

\_X\_\_ Director X\_\_ 10% Owner X\_ Officer (give title Other (specify below)

C/O GLOBE SPECIALTY METALS, INC., 600 BRICKELL

**AVENUE, STE 1500** 

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) 07/14/2014

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

MIAMI, FL 33131

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

3. 4. Securities Acquired (A) Transactionr Disposed of (D) Code (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned

7. Nature of Indirect Ownership Form: Beneficial Direct (D)

(Month/Day/Year) (Instr. 8)

**Following** Reported Transaction(s) (Instr. 4) (Instr. 3 and 4)

Ownership or Indirect (Instr. 4)

(A) or Code V Amount

(D) Price

\$

Common 07/11/2014 Stock

25,000 S D 20.1748 (1) (2)

9,686,003 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative				Securit	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3 and 4)		Own	
	Security				Acquired	Acquired				Follo	
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
						Date		or Title Number of			
						Exercisable					
				C 1 W	(A) (D)						
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
<b>2</b>	Director	10% Owner	Officer	Other			
Kestenbaum Alan C/O GLOBE SPECIALTY METALS, INC. 600 BRICKELL AVENUE, STE 1500 MIAMI, FL 33131	X	X	Executive Chairman				

# **Signatures**

/s/ Stephen Lebowitz, attorney-in-fact

07/30/2014 Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This amendment is being filed to correct the amount and price of securities sold on 7/11/2014.
  - The average sales price over several transactions is \$20.1748: 800 @ \$20.00; 700 @ \$20.01; 300 @ \$20.015; 700 @ \$20.02; 200 @ \$20.025; 800 @ \$20.03; 1300 @ \$20.04; 400 @ \$20.05; 1212 @ \$20.06; 900 @ \$20.07; 300 @ \$20.08; 1255 @ \$20.10; 200 @ \$20.11;
- (2) 200 @ \$20.12; 600 @ \$20.13; 600 @ \$20.14; 500 @ \$20.15; 485 @ \$20.16; 901 @ \$20.17; 400 @ \$20.18; 500 @ \$20.19; 304 @ \$20.20; 100 @ \$20.21; 100 @ \$20.23; 100 @ \$20.25; 1000 @ \$20.26; 2588 @ \$20.27; 2451 @ \$20.28; 3800 @ \$20.29; 98 @ \$20.295; 906 @ \$20.30; 100 @ \$20.31; 100 @ \$20.33; 100 @ \$20.35.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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