Edgar Filing: Kuc Paul - Form 4

Kuc Paul												
Form 4												
April 22, 201	3											
FORM	4										PROVAL	
Check thi	D STATES	S SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							Number:	3235-0287		
if no long subject to Section 10 Form 4 or Form 5 obligation may conti	oursuant to 7(a) of the	OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section						Expires: January 31 2005 Estimated average burden hours per response 0.5				
See Instru 1(b).		30(h)	of the In	vestme	ent (Company	y Act	: of 194	40			
(Print or Type R	Responses)											
Kuc Paul Symb			Symbol	. Issuer Name and Ticker or Trading mbol eres, Inc. [CERE]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of	-		-			(Chec	k all applicable	e)	
(Month				nth/Day/Year) 18/2013					Director 10% Owner X_Officer (give title Other (specify below) below) below) Chief Financial Officer			
(Street) 4. If			4. If Amer	If Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
Filed(Mor THOUSAND OAKS, CA 91320				Month/Day/Year)					Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting			
111005/111)1520							Person			
(City)	(State)	(Zip)	Table	e I - Noi	n-De	erivative S	Securi	ties Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction E (Month/Day/Ye	ar) Executio any	emed on Date, if Day/Year)	3. Transa Code (Instr.		4. Securi n(A) or Di (D) (Instr. 3,	spose	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common				Code	V	Amount		Price \$	(Instr. 3 and 4)			
Common Stock	04/18/2013			L <u>(1)</u>	V	2,000	А	2.53 (2)	34,700	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
r o o o o o o o o o o o o o o o o o o o	Director	10% Owner	Officer	Other				
Kuc Paul C/O CERES, INC. 1535 RANCHO CONEJO BLVD THOUSAND OAKS, CA 91320			Chief Financial Officer					
Signatures								
/s/ Paul Kuc 04/22/	2013							

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Small acquisition transaction under Rule 16a-6 voluntarily elected to be reported early on Form 4.

Reflects the weighted average purchase price paid by Paul Kuc. These shares were purchased in multiple transactions ranging from \$2.53 to \$2.54. The reporting person undertakes to provide Ceres, Inc., any shareholder of Ceres, Inc., or the staff of the Securities and

(2) B 52.54. The reporting person undertakes to provide ceres, inc., any shareholder of ceres, inc., of the start of the securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote (2).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.