**UROPLASTY INC** Form 4 August 27, 2007

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

3235-0287 Number: January 31, Expires:

2005

Estimated average burden hours per

response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b). (Print or Type Responses)

(Instr. 3)

common

stock

08/27/2007

1. Name and Address of Reporting Person * HEINEMANN LARRY R			2. Issuer Name <b>and</b> Ticker or Trading Symbol			5. Relationship of Reporting Person(s) to Issuer				
			UROPL	ASTY IN	IC [UPI]	(Che	eck all applicable	e)		
(Last)	(First)	(Middle)	3. Date of	f Earliest Tr	ansaction					
			(Month/D	ay/Year)		Director		6 Owner		
558 PERCH LAKE RD			08/27/2007			_X_ Officer (gives below)	ve title Oth below)	er (specify		
						· · · · · · · · · · · · · · · · · · ·	of Global Sales	;		
(Street)			4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check				
			Filed(Mor	Filed(Month/Day/Year)			Applicable Line)			
						_X_ Form filed by	1 0			
HUDSON,	WI 54016					Form filed by Person	More than One Ro	eporting		
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative Securities Acq	quired, Disposed	of, or Beneficia	lly Owned		
1.Title of	2. Transaction	Date 2A. Dee	emed	3.	4. Securities Acquired	5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Y	Year) Executi	on Date, if	Transactio	on(A) or Disposed of	Securities	Form: Direct	Indirect		

(D)

Amount

40,000

(Instr. 3, 4 and 5)

(A)

(D)

Price

Code

X

(Instr. 8)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

08/27/2007

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Beneficially

Owned

Following

Reported

Transaction(s) (Instr. 3 and 4)

126,417 (3)

(D) or

D

Indirect (I)

(Instr. 4)

Beneficial

Ownership

(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: UROPLASTY INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerci Expiration Dat (Month/Day/Y	te	7. Title and of Underlyin Securities (Instr. 3 and	ng
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
stock options	\$ 1.1	08/27/2007	08/27/2007	X	8,000	09/04/2002	09/04/2007	common stock	8,000
stock options	\$ 1.1	08/27/2007	08/27/2007	X	8,000	09/04/2003	09/04/2007	common stock	8,000
stock options	\$ 1.1	08/27/2007	08/27/2007	X	8,000	09/04/2004	09/04/2007	common stock	8,000
stock options	\$ 1.1	08/27/2007	08/27/2007	X	8,000	09/04/2005	09/04/2007	common stock	8,000
stock options	\$ 1.1	08/27/2007	08/27/2007	X	8,000	09/04/2006	09/04/2007	common stock	8,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships
Nebulung Owner Name / Address	

Director 10% Owner Officer Other

HEINEMANN LARRY R 558 PERCH LAKE RD HUDSON, WI 54016

VP of Global Sales

## **Signatures**

Larry Bakeman 08/27/2007

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 81,667 shares that Mr. Heinemann may acquire upon the exercise of options that are exercisable within 60 days of August 27, 2007.
- (2) The price is not applicable as this is not the sale of a derivative security but rather the exercise of a derivative security.
- (3) Includes 44,750 shares and 81,667 shares that Mr. Heinemann may acquire upon the exercise of options that are exercisable within 60 days of August 27, 2007.

Reporting Owners 2

### Edgar Filing: UROPLASTY INC - Form 4

#### **Remarks:**

Signature is on behalf of Mr. Heinemann

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.