### Edgar Filing: PNC FINANCIAL SERVICES GROUP INC - Form 4

#### PNC FINANCIAL SERVICES GROUP INC

Form 4

November 09, 2006

#### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **DEMCHAK WILLIAM S** Issuer Symbol PNC FINANCIAL SERVICES (Check all applicable) **GROUP INC [PNC]** (Middle) (Last) (First) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) ONE PNC PLAZA, 249 FIFTH 11/07/2006 Vice Chairman **AVENUE** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

## PITTSBURGH, PA 15222-2707

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(City)	(State)	(Zip) Tab	le I - Non-E	Derivative S	Securi	ties Acqu	ired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
4.5			Code V	Amount	(D)		(Instr. 3 and 4)		
\$5 Par Common Stock	11/07/2006		M(1)	36,229	A	\$ 43.81	287,541	D	
\$5 Par Common Stock	11/07/2006		F <u>(1)</u>	22,781	D	\$ 69.67	264,760	D	
\$5 Par Common Stock	11/07/2006		F <u>(1)</u>	5,731	D	\$ 69.67	259,029	D	
\$5 Par	10/24/2006		J(2) V	210	A	<u>(3)</u>	695	I	401(k)

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Common Plan Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.		5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Underlying (Instr. 3 an
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title
Employee Stock Option (Right-to-Buy)	\$ 43.81	11/07/2006		M			36,229	01/03/2004	01/03/2013	\$5 Par Common Stock
Employee Stock Option (Right-to-Buy) Reload Option	\$ 69.67	11/07/2006		A		28,512		11/07/2007	01/03/2013	\$5 Par Common Stock
Phantom Stock Unit	<u>(4)</u>	10/24/2006		<u>J(5)</u>	V	647		(6)	<u>(6)</u>	\$5 Par Common Stock
Phantom Stock Unit	<u>(4)</u>	10/24/2006		J <u>(5)</u>	V	48		<u>(6)</u>	<u>(6)</u>	\$5 Par Common Stock

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				

DEMCHAK WILLIAM S ONE PNC PLAZA 249 FIFTH AVENUE PITTSBURGH, PA 15222-2707

Vice Chairman

Reporting Owners 2

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## **Signatures**

Lori A. Hasselman, Attorney-in-Fact for William S. Demchak

11/09/2006

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The exercise of this option and the satisfaction of the resulting tax withholding obligation were effected by the Reporting Person through
- (1) the delivery, via attestation, of already owned shares of common stock of the Issuer and did not involve an open market transaction in the Issuer's securities.
- (2) Shares acquired under the PNC Incentive Savings Plan, including shares acquired through reinvestment of dividends.
- (3) Shares acquired under the PNC Incentive Savings Plan at various prices ranging from \$63.67 to \$72.77.
- (4) 1 for 1.
- (5) Dividend reinvestment shares acquired.
- (6) Phantom Stock Units will be settled in cash upon distribution from the reporting person's plan account and generally do not expire.
- (7) Dividend reinvestment shares acquired under the PNC Deferred Compensation Plan at various prices.
- (8) Dividend reinvestment shares acquired under the PNC Supplemental Incentive Savings Plan at various prices.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3