

FIORI GIOVANNI JOHN

Form 4

November 18, 2004

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
FIORI GIOVANNI JOHN

(Last) (First) (Middle)

5757 N. GREEN BAY  
AVENUE, P.O. BOX 591

(Street)

MILWAUKEE, WI 53201-0591

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

JOHNSON CONTROLS INC [JCI]

3. Date of Earliest Transaction  
(Month/Day/Year)

11/17/2004

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

Executive Vice President

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       |                                         |                                                             |                                      | (A)<br>or<br>(D)                                                           | 62,100                                                                                                             | D                                                                    |                                                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)**

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| 1. Title of Derivative Security (Instr. 3)    | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Underlying (Instr. 3 and 4) |                           |                 |              |
|-----------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|------------------------------------------|---------------------------|-----------------|--------------|
|                                               |                                                        |                                      |                                                    | Code                           | V                                                                                       | (A)                                                      | (D)                                      | Date Exercisable          | Expiration Date | Title        |
| Phantom Stock Units/LTPP                      | (1)                                                    |                                      |                                                    |                                |                                                                                         |                                                          |                                          | (2)                       | (2)             | Common Stock |
| Phantom Stock Units/EICP                      | (1)                                                    |                                      |                                                    |                                |                                                                                         |                                                          |                                          | (4)                       | (4)             | Common Stock |
| Phantom Stock/Restricted Stock Plan Dividends | (1)                                                    |                                      |                                                    |                                |                                                                                         |                                                          |                                          | (6)                       | (6)             | Common Stock |
| Stock Option                                  | \$ 28.8907                                             |                                      |                                                    |                                |                                                                                         |                                                          |                                          | 11/18/2000                | 11/18/2008      | Common Stock |
| Stock Option                                  | \$ 29.2032                                             |                                      |                                                    |                                |                                                                                         |                                                          |                                          | 11/17/2001                | 11/17/2009      | Common Stock |
| Stock Option                                  | \$ 28.4219                                             |                                      |                                                    |                                |                                                                                         |                                                          |                                          | 11/15/2002                | 11/15/2010      | Common Stock |
| Stock Option                                  | \$ 40.115                                              |                                      |                                                    |                                |                                                                                         |                                                          |                                          | 11/14/2003                | 11/14/2011      | Common Stock |
| Stock Option                                  | \$ 40.2975                                             |                                      |                                                    |                                |                                                                                         |                                                          |                                          | 11/20/2004 <sup>(8)</sup> | 11/20/2012      | Common Stock |
| Stock Option                                  | \$ 52.55                                               |                                      |                                                    |                                |                                                                                         |                                                          |                                          | 11/19/2005 <sup>(8)</sup> | 11/19/2013      | Common Stock |
| Stock Option                                  | \$ 61.69                                               | 11/17/2004                           |                                                    | A                              |                                                                                         | 100,000                                                  |                                          | 11/17/2006 <sup>(8)</sup> | 11/17/2014      | Common Stock |

## Reporting Owners

| Reporting Owner Name / Address                                                              | Relationships |           |                                |       |
|---------------------------------------------------------------------------------------------|---------------|-----------|--------------------------------|-------|
|                                                                                             | Director      | 10% Owner | Officer                        | Other |
| FIORI GIOVANNI JOHN<br>5757 N. GREEN BAY AVENUE<br>P.O. BOX 591<br>MILWAUKEE, WI 53201-0591 |               |           | Executive<br>Vice<br>President |       |

## Signatures

Arlene D. Gumm, Attorney-In-Fact for  
Giovanni Fiori

11/18/2004

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The phantom stock units convert to the common stock's cash value on a one-for-one basis.
- (2) The phantom stock units were accrued under the Johnson Controls Long-Term Performance Plan and are to be settled 100% in cash upon the reporting person's retirement.
- (3) Includes 170,989 phantom stock units acquired through the reinvestment of dividends on June 30 and September 30, 2004, at prices ranging from \$53.38 - \$56.81 per phantom unit.
- (4) The phantom stock units were acquired under the Johnson Controls Executive Incentive Compensation Plan and are to be settled 100% in cash upon the reporting person's retirement.
- (5) Includes 102.15 phantom stock units acquired through the reinvestment of dividends on June 30 and September 30, 2004, at prices ranging from \$53.38 - \$56.81 per phantom unit.
- (6) The phantom stock units were acquired under the Johnson Controls Deferred Restricted Stock Plan and are to be settled 100% in cash upon the vesting of the reporting person's restricted stock to which they relate.
- (7) Includes 119,023 phantom stock units acquired through the reinvestment of dividends on June 30 and September 30, 2004, at prices ranging from \$53.38 - \$56.81 per phantom unit.
- (8) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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