

WISE DAVID
Form 3
April 09, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â WISE DAVID
(Last) (First) (Middle)

5775 MOREHOUSE DR.

(Street)

SAN
DIEGO,Â CAÂ 92121-1714

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)
04/02/2019

3. Issuer Name and Ticker or Trading Symbol
QUALCOMM INC/DE [QCOM]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer ____ Other
(give title below) (specify below)
Interim CFO

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group
Filing(Check Applicable Line)
__X__ Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

17,293

D Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative
Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

Date
Exercisable

Expiration Date

3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)

Title Amount or
Number of

4. Conversion
or Exercise
Price of
Derivative
Security

5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect

6. Nature of
Indirect Beneficial
Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Phantom Stock Unit <u>(1)</u>	Â <u>(2)</u>	Â <u>(3)</u>	Common Stock	5,826.1089	\$ 0	I	by Grantor Trust <u>(1)</u>
Restricted Stock Unit	Â <u>(4)</u>	11/20/2019 <u>(4)</u>	Common Stock	3,231.1936	\$ <u>(5)</u>	D	Â
Restricted Stock Unit	Â <u>(6)</u>	11/20/2020 <u>(6)</u>	Common Stock	6,125.9164	\$ <u>(5)</u>	D	Â
Restricted Stock Unit	Â <u>(7)</u>	02/20/2021 <u>(7)</u>	Common Stock	1,645.7008	\$ <u>(5)</u>	D	Â
Restricted Stock Unit	Â <u>(8)</u>	11/20/2021 <u>(8)</u>	Common Stock	11,376.8072	\$ <u>(5)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WISE DAVID 5775 MOREHOUSE DR. SAN DIEGO, CA 92121-1714	Â	Â	Â Interim CFO	Â

Signatures

By: David Zuckerman, Attorney-in-Fact For: David
Wise

04/09/2019

 Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The common stock issued under the terms of the Company's Non-Qualified Deferred Compensation Plan, a tax conditioned plan, is exempt under Rule 16b-3. The shares are held in a grantor trust and stock is the only permissible form of distribution under the Plan.
- (2) The rights awarded under the Company's Non-Qualified Deferred Compensation Plan will be eligible for distribution upon termination and vest 100% after 2 years of continuous service with the Company.
- (3) The rights awarded under the Company's Non-Qualified Deferred Compensation Plan will be eligible for distribution upon termination.
- (4) The Restricted Stock Units (and allocable dividend equivalents) vested one-third on November 20, 2017, and the remaining balance vests semi-annually thereafter.
- (5) Each Restricted Stock Unit is the economic equivalent of one share of Qualcomm common stock and is converted into common stock upon vesting.
- (6) The Restricted Stock Units (and allocable dividend equivalents) vested one-third on November 20, 2018, and the remaining balance vests semi-annually thereafter.
- (7) The Restricted Stock Units (and allocable dividend equivalents) vest one-third on February 20, 2019, and the remaining balance vests semi-annually thereafter.
- (8) The Restricted Stock Units (and allocable dividend equivalents) vest one-third on November 20, 2019, and the remaining balance vests semi-annually thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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