SEMTECH CORP Form 8-K December 05, 2017

### **UNITED STATES**

## SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 or Section 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): December 4, 2017

**Semtech Corporation** 

(Exact name of registrant as specified in its charter)

Delaware

(State or other jurisdiction

of incorporation)

#### Edgar Filing: SEMTECH CORP - Form 8-K

1-6395 (Commission 95-2119684 (IRS Employer

File Number)

**Identification No.)** 

200 Flynn Road

Camarillo, California		93012-8790
(Address of principal executive offices)		(Zip Code)
	805-498-2111	

## Registrant s telephone number, including area code

# Not applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR §230.405) or Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR §240.12b-2).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

## **Item 8.01 Other Events**

On December 4, 2017, Mohan Maheswaran, President, Chief Executive Officer and a Director of Semtech Corporation (the Company ), entered into a written stock trading plan in accordance with Rule 10b5-1 under the Securities Exchange Act of 1934 for the sale of a maximum of 87,000 shares of the Company s common stock.

Mr. Maheswaran s trading plan provides for the exercise and sale in February 2018 of up to 44,000 shares of the Company s common stock underlying non-qualified stock options that would otherwise expire on February 28, 2018. The plan also provides for the sale of 43,000 shares of the Company s common stock at specified numbers and at specific times beginning in February 2018 and ending in December 2018.

Except as may be required by law, the Company does not undertake to report Rule 10b5-1 trading plans established by any other Company employees, officers or directors, nor to report modifications, terminations, or other activities under any publicly announced trading plan.

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

SEMTECH CORPORATION

Date: December 5, 2017

/s/ Emeka N. Chukwu Name: Emeka N. Chukwu Title: Chief Financial Officer