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NOMURA HOLDINGS INC Form 6-K November 29, 2016 Table of Contents

# FORM 6-K

# U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**Report of Foreign Private Issuer** 

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

**Commission File Number: 1-15270** 

For the month of November 2016

# NOMURA HOLDINGS, INC.

(Translation of registrant s name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.
Form 20-F <u>X</u> Form 40-F
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

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Information furnished on this form:

### **EXHIBIT**

### Exhibit Number

1. Nomura Announces Status of Share Buyback Program from Market

Date: November 29, 2016

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

### NOMURA HOLDINGS, INC.

By: /s/ Hajime Ikeda

Hajime Ikeda

Senior Managing Director

### Nomura Announces Status of Share Buyback Program from Market

**Tokyo, November 29, 2016** Nomura Holdings, Inc. today announced the status of its ongoing share buyback program resolved at a meeting of the Board of Directors held on July 28, 2016, pursuant to the company s articles of incorporation set out in accordance with Article 459-1 of the Companies Act of Japan.

### **Details of share buyback**

1. Type of shares Nomura Holdings common shares

2. Number of shares repurchased9,420,400 shares3. Aggregate purchase amount5,654,411,590 yen

4. Purchase period
5. Method of repurchase
November 1, 2016, to November 28, 2016
Purchase on the stock exchange via trust bank

(Reference)

### Share buyback program resolution at Board of Directors meeting on July 28, 2016

1. Type of shares Nomura Holdings common shares

2. Total shares authorized for repurchase Up to 100 million shares

(2.6% of outstanding shares)

3. Total value of shares authorized for repurchase Up to 45 billion yen

4. Period August 15, 2016, to January 27, 2017

(excluding the period between October 28, 2016, and

November 11, 2016)

5. Method of repurchase Purchase on the stock exchange via trust bank

The accumulative number of shares purchased as of November 28, 2016, pursuant to the Board of Directors resolution above:

1. Number of shares repurchased 71,535,200 shares 2. Aggregate purchase amount 34,826,934,790 yen

ends

### For further information please contact:

NameCompanyTelephoneJoey WuNomura Holdings, Inc.81-3-3278-0591

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Michael Lintaro Yasuhara

Group Corporate Communications Dept.

### Nomura

Nomura is an Asia-headquartered financial services group with an integrated global network spanning over 30 countries. By connecting markets East & West, Nomura services the needs of individuals, institutions, corporates and governments through its three business divisions: Retail, Asset Management, and Wholesale (Global Markets and Investment Banking). Founded in 1925, the firm is built on a tradition of disciplined entrepreneurship, serving clients with creative solutions and considered thought leadership. For further information about Nomura, visit <a href="https://www.nomura.com">www.nomura.com</a>.