NOMURA HOLDINGS INC Form 6-K March 07, 2014 Table of Contents

U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 6-K

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of March 2014

NOMURA HOLDINGS, INC.

(Translation of registrant s name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

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(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F x Form 40-F "

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

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Incorporation by Reference

The registrant hereby incorporates Exhibit 1 to this report on Form 6-K by reference (i) in the prospectus that is part of the Registration Statement on Form F-3 (Registration No. 333-191250) of the registrant and Nomura America Finance, LLC, filed with the Securities and Exchange Commission (SEC) on September 19, 2013 and (ii) in the prospectus that is part of the Registration Statement on Form F-3 (Registration No. 333-186755) of the registrant, filed with the SEC on February 20, 2013.

Information furnished on this form:

EXHIBIT

Exhibit Number

1. <u>Nomura Announces Partial Sale of Stake in JAFCO</u>

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: March 7, 2014

By: /s/ Eiji Miura

Eiji Miura

Senior Managing Director

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Nomura Announces Partial Sale of Stake in JAFCO

Tokyo, March 7, 2014 Nomura Holdings, Inc. today announced that it has resolved to sell a portion of the shares of common stock it holds in JAFCO Co., Ltd. (2,200,000 shares, or 4.9% of the total number of voting rights).

Nomura expects that the sale will have a limited effect on its consolidated financial results. Nomura also expects to maintain a good relationship with JAFCO after the sale.

Nomura s voting rights and ownership percentage before and after the sale

	Number of voting rights (ownership percentage)		
	Direct holding	Combined holding	Total
Before transfer	83,842	24,480	108,322
(as of September 30, 2013)			
	(18.9%)	(5.5%)	(24.4%)
After transfer	61,842	24,480	86,322
	(13.9%)	(5.5%)	(19.4%)

- Note 1: Ownership percentage is calculated by dividing the number of voting rights by the total number of voting rights (443,474 voting rights as of September 30, 2013).
- Note 2: In addition to the above, a subsidiary of Nomura holds shares of JAFCO in connection with the operation of trusts and as inventory in connection with its securities business.
- Note 3: The total number of shares of JAFCO to be offered in the sale is 2,200,000 shares.

ends

For further information please contact:

Name	Company	Telephone
Joey Wu	Nomura Holdings, Inc.	81-3-3278-0591
Keiko Sugai	Group Corporate Communications Dept.	
Nomura		

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Nomura is an Asia-based financial services group with an integrated global network spanning over 30 countries. By connecting markets East & West, Nomura services the needs of individuals, institutions, corporates and governments through its three business divisions: Retail, Asset Management, and Wholesale (Global Markets and Investment Banking). Founded in 1925, the firm is built on a tradition of disciplined entrepreneurship, serving clients with creative solutions and considered thought leadership. For further information about Nomura, visit www.nomura.com.

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