**CASEY BRIAN O** Form 144 December 02, 2010

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# **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **FORM 144**

#### NOTICE OF PROPOSED SALE OF SECURITIES

### PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

ATTENTION: Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale or executing a

sale directly with a market maker.

(c) S.E.C. FILE NO. 1(a) NAME OF ISSUER (Please type or print) (b) IRS IDENT. NO. WESTWOOD HOLDINGS GROUP, INC. 75-2969997 001-31234 1(d) ADDRESS OF ISSUER STREET (e) TELEPHONE NO. CITY STATE ZIP CODE AREA CODE NUMBER 200 CRESCENT COURT, SUITE 1200 DALLAS TX 75201 214 756-6900 2(a) NAME OF PERSON FOR WHOSE ACCOUNT (b) RELATIONSHIP TQc) ADDRESS STREET CITZIP CODE THE SECURITIES ARE TO BE SOLD STATE **ISSUER CHIEF EXECUTIVE** 75201 **OFFICER** BRIAN O. CASEY(1) 200 CRESCENT COURT, SUITE 1200 DALLAS TX (1) The shares being reported herein are held by trust accounts in favor of Mr. Casey s children.

SEC (f) (e) (b) USE ONLY (c) (d) (g) Title of the Name and Address of Each Broker Broker-Dealer Number of Shares Aggregate Number of Shares Approximate Name of Each

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

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Class of	Through Whom the Securities are	File Number	or Other Units	Market Value	or Other Units	Date of Sale	Securities
Securities	to be Offered or Each Market		To Be Sold	(See Instr. 3(d))	<b>Outstandin</b> g	(See Instr. 3(f))	Exchange
To Be Sold	Maker who is Acquiring		(See Instr. 3(c))		(See Instr. 3(e))	(MO. DAY YR.)	(See Instr. 3(g))
	the Securities						
COMMON STOCK	Pulse Trading		3,900	\$141,843	7,462,917	December 2, 2010	NYSE
	2 Liberty Square			(December 1, 2010)	(October 19, 2010)		
	2nd Floor			ŕ	ŕ		
	Boston, MA 02109						

#### **INSTRUCTIONS:**

- 1. (a) Name of issuer
  - (b) Issuer s I.R.S. Identification Number
  - (c) Issuer s S.E.C. file number, if any
  - (d) Issuer s address, including zip code
  - (e) Issuer s telephone number, including area code
- 2. (a) Name of person for whose account the securities are to be sold
  - (b) Such person s relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
  - (c) Such person s address, including zip code
- 3. (a) Title of the class of securities to be sold
- (b) Name and address of each broker through whom the securities are intended to be sold
- (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
- (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice
- (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
- (f) Approximate date on which the securities are to be sold
- (g) Name of each securities exchange, if any, on which the securities are intended to be sold

## TABLE I SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold

and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of Date you Name of Person from Whom Acquired Date of Amount of the Class Acquired Nature of Acquisition Transaction (If gift, also give date donor acquired) Securities Acquired Payment Nature of Payment Common 12/14/01 3,900 12/14/01 Promissory Note(2) Purchase SWS Group, Inc. Stock INSTRUCTIONS: If the securities were purchased and full payment therefor was not (2) Mr. Casey acquired the shares being reported herein from SWS Group, Inc. (Westwood made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration Holdings Group, Inc. s former parent company) consisted of any note or other obligation, or if payment was made in pursuant to the terms of a Stock Purchase installments describe the arrangement and state when the note or other Agreement. The consideration for these shares obligation was discharged in full or the last installment paid. was in the form of a promissory note that was fully discharged by Mr. Casey on August 26, 2003. TABLE II SECURITIES SOLD DURING THE PAST 3 MONTHS Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold. Amount of Name and Address of Seller Title of Securities Sold Date of Sale Securities Sold Gross Proceeds **REMARKS:** ATTENTION: **INSTRUCTIONS:** 

See the definition of person in paragraph (a) of Rule 144. Information is *The person for whose account the securities to which this notice* to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

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December 2, 2010

/s/ Brian O. Casey

DATE OF NOTICE

(SIGNATURE)

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed.

DATE OF PLAN ADOPTION OR GIVING OF INSTRUCTION,

IF RELYING ON RULE 10B5-1

Any copies not manually signed shall bear typed or printed signatures.