**NETFLIX INC** Form 4

December 02, 2016

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **BARTON RICHARD N** 

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Symbol

(Last) (First) (Middle)

(Street)

NETFLIX INC [NFLX] 3. Date of Earliest Transaction

\_X\_\_ Director Officer (give title

below)

10% Owner

Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

100 WINCHESTER CIRCLE

12/01/2016

(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

LOS GATOS, CA 95032

(City)	(State)	(Zip) Tal	ble I - Non	-Derivati	ve Sec	urities Acqu	ired, Disposed o	f, or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	owr Dispo (Instr. 3,	esed of 4 and (A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/01/2016		Code V M	Amount 1,400 (1)	(D)	Price \$ 10.8586	8,412	D	
Common Stock	12/01/2016		S	1,400 (1)	D	\$ 117.52	7,012	D	
Common Stock	12/02/2016		M	1,400 (1)	A	\$ 10.8586	8,412	D	
Common Stock	12/02/2016		S	1,400 (1)	D	\$ 117	7,012	D	
Common Stock							20,000	I	By Foundation (2)

#### Edgar Filing: NETFLIX INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secur (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	An or Nu of Sha
Non-Qualified Stock Option (right to buy)	\$ 10.8586	12/01/2016		M		1,400 (1)	12/03/2012	12/03/2022	Common Stock	1,
Non-Qualified Stock Option (right to buy)	\$ 10.8586	12/02/2016		M		1,400 (1)	12/03/2012	12/03/2022	Common Stock	1,
Non-Qualified Stock Option (right to buy)	\$ 117.22	12/01/2016		A	533		12/01/2016	12/01/2026	Common Stock	4.5

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
• 0	Director	10% Owner	Officer	Other			
BARTON RICHARD N 100 WINCHESTER CIRCLE LOS GATOS, CA 95032	X						

## **Signatures**

By: Carole Payne, Authorized Signatory For: Richard N. 12/02/2016 Barton

> \*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Reporting Owners 2

Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

#### Edgar Filing: NETFLIX INC - Form 4

- (1) Transaction made pursuant to duly adopted trading plan under Rule 10b5-1(c).
- (2) As trustee of the Barton Family Foundation

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.