Edgar Filing: ROGERS WILLIAM H JR - Form 4

ROGERS WILLIAM H JR

Form 4

February 12, 2003

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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1. Name and Add			ne and Tic anks, Inc.		F	6. Relationship of Reporting Person(s)				
Rogers, Jr., Wil (Last) 303 Peachtree S	of Repo	rting tity (ntification No. Person, voluntary)	Numbe	Mo	Statement for nth/Day/Year pruary 11, 2003	to Issuer (Check all applicable) _ Director 10% Owner X Officer (give title below) Other (specify below) Executive Vice President			
Atlanta, GA 303						. If Amendment, Oate of Original Month/Day/Year) To Individual or Joint/Group File (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State) ((Zip)	Т	able	I Non-D	erivat	ive Sec	urities Acquired, Dispos	ed of, or Ben	eficially Owned
1. Title of Security (Instr. 3)		2A. Deemed Execution Date, if any (Month/Day/ Year)	3. Transaction C (Instr. 8 Code	Code	4. Securitie (A) or Disp (Instr. 3, 4 Amount	s Acquosed o	iired	5. Amount of Securities Beneficially Owned Following Reported Transactions(s) (Instr. 3 & 4)	6. Owner- ship Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock								5,040.882	l I	401(k)(1)
Common Stock								60) I	Custodian Account(2)
Common Stock	2/11/03		A		16,380	A		36,380	I	Restricted Stock(3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2. Conver-	3.	3A.	4.	5. Number	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Natur
Derivative	sion or	Trans-	Deemed	Trans-	of	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indirec

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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Security (Instr. 3)	Exercise Price of Derivative Security	action Date (Month/ Day/ Year)	Execution Date, if any (Month/ Day/ Year)	action Code (Instr. 8)		Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5)		Date (Month/Day/ Year)		Underlying Securities (Instr. 3 & 4)		Security (Instr. 5)	Beneficially Owned Following Reported Transaction(s) (Instr. 4)	ship Form of Derivative Security: Direct (D) or Indirect	Beneficia Ownershi (Instr. 4)
				Code '	_		(D)	Date Exer-cisable	Expira- tion Date	Title	Amount or Number of Shares			(I) (Instr. 4)	
Phantom Stock Units ⁽⁴⁾	1 for 1							(4)	(4)	Common Stock			113.600	D	
Phantom Stock Units ⁽⁵⁾	1 for 1							(5)	(5)	Common Stock			16,000	D	
Option ⁽⁶⁾	33.1875							1/1/96	11/13/05	Common Stock			2,000	D	
Option (6)	73.0625							11/9/02	11/9/09	Common Stock			1,365	D	
Option (6)	73.0625							11/9/02		Common Stock			4,635	D	
Option (7)	51.125							11/14/03		Common Stock			10,000	D	
Option (7)	64.57							11/13/04	11/13/11	Common Stock			15,000	D	
Option (7)	54.28	2/11/03		A	1	5,000		2/11/06		Common Stock	15,000		15,000	D	

Explanation of Responses:

- (1) Because the stock fund component of the 401(k) Plan is accounted for in unit accounting, the number of share equivalents varies based on the closing price of SunTrust stock on the applicable measurement date.
- (2) Held as Custodian for daugher, Heather Christian Rogers, and son, David Henry Rogers.
- (3) Restricted stock held under 1986 SunTrust Executive Stock Plan, 1995 SunTrust Executive Stock Plan and SunTrust Banks, Inc. 2000 Stock Plan. Subject to certain vesting conditions. Restricted stock agreements contain tax withholding features allowing stock to be withheld to satisfy tax withholding obligations. All plans are exempt under Rule 16(b)-3.
- (4) The reported phantom stock units were acquired under SunTrust Banks, Inc.'s 401(k) excess benefit plan.
- (5) Granted in exchange for restricted stock. Will be paid out on various dates.
- (6) Granted pursuant to the 1995 SunTrust Executive Stock Plan.
- (7) Granted pursuant to the SunTrust Banks, Inc. 2000 Stock Plan.

By: /s/ Margaret Hodgson, Attorney-in-Fact for William H. February 12, 2003
Rogers, Jr. Date

**Signature of Reporting Person

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

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