Edgar Filing: Seagate Technology plc - Form 4

Seagate Technology plc Form 4 November 04, 2014

OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading LUCZO STEPHEN J Issuer Symbol Seagate Technology plc [STX] (Check all applicable) (First) (Middle) 3. Date of Earliest Transaction (Last) (Month/Day/Year) 10% Owner _X_ Director Other (specify X_Officer (give title SEAGATE TECHNOLOGY 10/31/2014 below) below) PLC, 10200 S. DE ANZA Chairman and CEO BOULEVARD (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting CUPERTINO, CA 95014 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 1.Title of 3. 4. Securities Acquired (A) or 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionDisposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned Ownership Direct (D) or Indirect (Instr. 4) Following Reported (I)(A) Transaction(s) (Instr. 4)

		Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Ordinary Shares	10/31/2014	S <u>(1)</u>	275,830	D	\$ 62.207 (2)	382,700	D	
Ordinary Shares	10/31/2014	S	100,000	D	\$ 62.4144 (<u>3)</u>	1,225,620	I	Stephen J Luczo Revocable Trust
Ordinary Shares	11/04/2014	S <u>(4)</u>	7,983	D	\$ 65	1,217,637	Ι	Stephen J Luczo Revocable Trust

Edgar Filing: Seagate Technology plc - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

er

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration Da	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration		or		
						Date	Title Number				
									of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Othe			
LUCZO STEPHEN J SEAGATE TECHNOLOGY PLC 10200 S. DE ANZA BOULEVARD CUPERTINO, CA 95014	Х		Chairman and CEO				
Signatures							
/s/ Jolene Mendelsohn by Power of Attorney		11/04/20	14				

Explanation of Responses:

**Signature of Reporting Person

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These Ordinary Shares were sold to cover the tax liabilities arising from the vesting of securities previously reported on one or more Forms 4 by the Reporting Person.

Date

These Ordinary Shares were sold in multiple transactions at sales prices ranging from \$62.04 to \$62.43, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon

- (2) Interfaces to provide to the issuer, any security holder of the issuer, of the start of the securities and Exchange commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in the footnote of this Form 4.
- (3) These Ordinary Shares were sold in multiple transactions at sales prices ranging from \$62.11 to \$62.84, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon

Edgar Filing: Seagate Technology plc - Form 4

request, full information regarding the number of shares sold at each separate price within the ranges set forth in the footnote of this Form 4.

(4) Ordinary Shares reported sold pursuant to a 10b5-1 trading plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.