Seagate Technology plc Form 4 November 04, 2014

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB Washington, D.C. 20549 Number: Expires:

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

1(b).

(Last)

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* DEHAAN DOUGLAS J

(First)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

Seagate Technology plc [STX]

3. Date of Earliest Transaction

10/31/2014

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

Estimated average

burden hours per

response...

3235-0287

January 31,

2005

0.5

SEAGATE TECHNOLOGY PLC, 10200 S. DE ANZA **BOULEVARD** 

(Month/Day/Year)

Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify below)

General Manager, Samsung HDD

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

CUPERTINO, (	CA 95014
--------------	----------

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) actiomr Disposed of (D) (Instr. 3, 4 and 5) 8) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Ordinary Shares	10/31/2014		M	4,428	A	\$ 11.065	38,006	D	
Ordinary Shares	10/31/2014		M	7,925	A	\$ 11.155	45,931	D	
Ordinary Shares	10/31/2014		M	2,254	A	\$ 29.87	48,185	D	
Ordinary Shares	10/31/2014		S	14,607	D	\$ 62.1458 (1)	33,578	D	
	10/31/2014		S(2)	8,480	D		25,098	D	

#### Edgar Filing: Seagate Technology plc - Form 4

Ordinary	\$
Shares	62.1431
	(3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		iomf Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
NQ Stock Options	\$ 11.065	10/31/2014		M	4,428	09/13/2011(4)	09/13/2017	Ordinary Shares	4,428
NQ Stock Options	\$ 11.155	10/31/2014		M	7,925	09/12/2012(5)	09/12/2018	Ordinary Shares	7,925
NQ Stock Options	\$ 29.87	10/31/2014		M	2,254	09/10/2013(6)	09/10/2019	Ordinary Shares	2,254

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
DEHAAN DOUGLAS I						

SEAGATE TECHNOLOGY PLC 10200 S. DE ANZA BOULEVARD CUPERTINO, CA 95014

General Manager, Samsung HDD

Deletionships

Reporting Owners 2

#### **Signatures**

/s/ Jolene Mendelsohn by Power of Attorney

11/04/2014

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These Ordinary Shares were sold in multiple transactions at sales prices ranging from \$62.11 to \$62.18, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in the footnote of this Form 4
- (2) These Ordinary Shares were sold to cover the tax liabilities arising from the vesting of securities previously reported on one or more Forms 4 by the Reporting Person.
- These Ordinary Shares were sold in multiple transactions at sales prices ranging from \$62.11 to \$62.18, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in the footnote of this Form 4
- Options granted to the Reporting Person under the Issuer's 2001 Stock Option Plan are subject to a four year vesting schedule. One
  (4) quarter of the options vested on September 13, 2011. The remaining options vested monthly over the 36 months following September 13, 2011.
- Options granted to the Reporting Person under the Issuer's 2004 Stock Compensation Plan are subject to a four-year vesting schedule. (5) One quarter of the options vested on September 12, 2012. The remaining options vest monthly over the 36 months following September 12, 2012.
- Options granted to the Reporting Person under the Issuer's 2012 Equity Incentive Plan are subject to a four year vesting schedule. One quarter of the options vested September 10, 2013. The remaining options vest monthly over the 36 months following September 10, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3