

DICKS SPORTING GOODS INC

Form 4

November 22, 2013

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
STACK EDWARD W

2. Issuer Name **and** Ticker or Trading
Symbol
DICKS SPORTING GOODS INC
[DKS]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

345 COURT STREET

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
11/20/2013

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chairman and Chief Exec. Off.

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

CORAOPOLIS, PA 15108

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock, par value \$.01 per share	05/28/2013		G		892,201	D	\$ 0	0	I	By grantor retained annuity trust ⁽¹⁾
Common Stock, par value \$.01 per share	09/13/2013		G ⁽²⁾		6,047	D	\$ 0	11,215,370 ^{(3) (4)}	D	
Common Stock, par value \$.01	11/20/2013		M		106,700 ⁽⁵⁾	A	\$ 12.63	11,322,070 ^{(3) (4)}	D	

per share

Common Stock, par value \$.01 per share	11/20/2013	S	106,700 (5)	D	\$ 55.0754 (6)	11,215,370 (3) (4)	D
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Common Stock, par value \$.01 per share	11/21/2013	M	37,300 (5)	A	\$ 12.63	11,252,670 (3) (4)	D
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Common Stock, par value \$.01 per share	11/21/2013	S	37,300 (5)	D	\$ 54.9347 (6)	11,215,370 (3) (4)	D
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Common Stock, par value \$.01 per share						1,770,332 (7)	I	By trust (8)
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Common Stock, par value \$.01 per share						2,133,161 (9)	I	By trust (10)
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Common Stock, par value \$.01 per share						2,366,248	I	By trust (11)
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
Stock Option (Right to	\$ 12.63	11/20/2013		M	106,700 (5)	01/21/2008 01/21/2014	Common Stock, par value

Buy)

\$.01 per
shareStock
Option
(Right to
Buy)

\$ 12.63

11/21/2013

M

37,300
(5)

01/21/2008

01/21/2014

Common
Stock, par
value
\$.01 per
share

37,300

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
STACK EDWARD W 345 COURT STREET CORAOPOLIS, PA 15108	X	X	Chairman and Chief Exec. Off.	

Signatures

/s/ Edward W.
Stack

11/22/2013

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On May 26, 2010, Mr. Stack contributed 2,525,000 shares of Class B Common Stock to the Edward W. Stack Grantor Retained Annuity Trust II for the benefit of himself and his children. Thereafter, 614,510 shares were transferred from the trust to Mr. Stack on September 7, 2011 (as reflected on Form 4 filed March 21, 2012), 506,428 shares were transferred from the trust to Mr. Stack on May 29, 2012 (as reflected on Form 4 filed May 31, 2012) and 511,861 shares were transferred from the trust to Mr. Stack on May 28, 2013 (as reflected herein) to satisfy required annuity payments, which shares are currently reported as being directly owned. Upon termination of the grantor retained annuity trust on May 28, 2013, the remaining 892,201 shares were transferred to the Edward W. Stack Irrevocable Trust, in which Mr. Stack has neither a direct nor indirect beneficial ownership interest.

(2) This transaction involved a gift of shares of Class B Common Stock to the Denise Trust TTEE U/A DTD 7/23/09 Edward W. Stack Trust for children, in which Mr. Stack has neither a direct nor indirect beneficial interest.

(3) Amount includes 10,788,224 shares of Class B common stock (the "Class B Common Stock"), which is not registered under the Securities Exchange Act of 1934, as amended. Holders of Class B Common Stock have identical rights to holders of common stock, except that holders of Class B Common Stock are entitled to 10 votes for each share held of record. Each share of Class B Common Stock is convertible at any time, at the option of the holder, into one share of common stock.

(4) Amounts reflect a shift from indirect to direct ownership of 783,265 shares of Class B Common Stock, which had been held by the Edward W. Stack Grantor Retained Annuity Trusts referenced in footnotes 1, 7 and 9, which were transferred to Mr. Stack on May 28, 2013 to satisfy annuity payment obligations under certain of the Grantor Retained Annuity Trusts.

(5) Pursuant to a Memorandum of Understanding ("MOU") dated the 2nd day of March, 2009, Mr. Stack's former spouse is entitled to receive the economic benefit with respect to certain stock options exercisable for shares of common stock (the number of shares would be equitably adjusted for any stock split, recapitalization or similar event), which includes the right to request the exercise and/or sale of such stock options in accordance with the Company's applicable policies, Section 16(b) limitations and the terms of the MOU. Mr. Stack maintains voting power with respect to any such stock underlying these options when such option is exercised. One-half of the net after tax proceeds associated with the exercise and sale of shares underlying the vested stock option has been transferred in accordance with the terms of the MOU.

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- (6) Represents the weighted average of multiple sales transactions ranging in price from \$55.00-\$55.23 for the November 20th transaction, and \$54.76-\$55.09 for the November 21st transaction, respectively. Mr. Stack agrees to provide full information regarding the number of shares sold at each separate price upon request by the SEC staff, the Company or a security holder of the Company.
- (7) Amount reflects a shift from indirect to direct ownership of 200,104 shares of Class B Common Stock, which had been held by the Edward W. Stack Grantor Retained Annuity Trust III, which were transferred to Mr. Stack on May 28, 2013 to satisfy annual annuity payment obligations, as discussed in Footnote 4 above.
- (8) These shares are held by the Edward W. Stack Grantor Retained Annuity Trust III.
- (9) Amount reflects a shift from indirect to direct ownership of 71,300 shares of Class B Common Stock, which had been held by the Edward W. Stack Grantor Retained Annuity Trust IV, which were transferred from the trust to Mr. Stack on May 28, 2013 to satisfy annual annuity payment obligations, as discussed in Footnot 4 above.
- (10) These shares are held by the Edward W. Stack Grantor Retained Annuity Trust IV.
- (11) These shares are held by the Edward W. Stack Grantor Retained Annuity Trust V.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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