Edgar Filing: Hannon Armstrong Sustainable Infrastructure Capital, Inc. - Form 4

Hannon Armstrong Sustainable Infrastructure Capital, Inc. Form 4 November 13, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB APPROVAL			
	UNITED	INITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287	
Check this box if no longer	K C								Expires:	January 31,	
subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	Filed pur Section 17(	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							Estimated a burden hour response	0	
(Print or Type Respo	onses)										
1. Name and Addre OSBORNE RIC		Person <u>*</u>	Symbol Hannon	Name and Armstror acture Cap	ng Sustai	nable	e	5. Relationship of Issuer (Check	Reporting Pers		
(			3. Date of Earliest Transaction (Month/Day/Year) 11/12/2013					X_ Director 10% Owner Officer (give title Other (specify below) below)			
ANNAPOLIS, I	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Tabl	a I - Non-D	orivotivo	Socur	ities Aca	uired, Disposed of	or Bonoficial	ly Owned	
1.Title of 2. 7	Fransaction Date onth/Day/Year)	e 2A. Deen Execution any	ned	3. Transactio Code (Instr. 8)	4. Securit on(A) or Di (Instr. 3,	ties A spose	cquired d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
stock par	/12/2013			Р	2,500	A	\$ 11.94	11,143 <u>(1)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and	nt of lying ties	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

**Reporting Owner Name / Address** 

OSBORNE RICHARD J 1906 TOWNE CENTRE BLVD. SUITE 370 ANNAPOLIS, MD 21401 Signatures

Richard J. Osborne, by Alexander MacRae, his Attorney-in-fact

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Director

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Relationships

10% Owner Officer Other

On April 23, 2013, the reporting person was granted 3,643 shares of restricted Common Stock that were issued pursuant to the Hannon
(1) Armstrong Sustainable Infrastructure Capital Inc. Equity Incentive Plan. The shares vest as to one fourth on April 23, 2014, one fourth on April 23, 2015, one fourth on April 23, 2016 and one fourth on April 23, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

11/13/2013

Date