**ROWAN MARC J** Form 4 May 16, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

0.5

Estimated average burden hours per response...

X 10% Owner

Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** 

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \* **ROWAN MARC J** 

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

Apollo Global Management LLC

(Check all applicable)

[APO]

(Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

05/14/2013

\_X\_\_ Director X\_ Officer (give title Other (specify below)

C/O APOLLO GLOBAL MANAGEMENT, INC., 9 WEST 57TH STREET, 43RD FLOOR

(State)

(First)

(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Senior Managing Director

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

NEW YORK, NY 10019

|                                      |   |   |  |   |    | •            | · •  |  | •   |
|--------------------------------------|---|---|--|---|----|--------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securities and Disposed of (Instr. 3, 4 and Amount | D) | red (A) or   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Class A<br>Shares                    | 05/14/2013                              |   | M                                      | 4,425,620   |    | <u>(1)</u>   | 4,425,620  | I  | See Footnote (2)  |
| Class A<br>Shares                    | 05/15/2013                              |   | S                                      | 4,425,620   | D  | \$<br>24.375 | 0  | I  | See Footnote (2)  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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## displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exer<br>Expiration D<br>(Month/Day | ate                |                      | nd Amount of<br>ng Securities<br>and 4) |
|---|---|--------------------------------------|---|--|--|--|--------------------|----------------------|---|
|   |   |                                      |   | Code V                                 | (A) (D)  | Date<br>Exercisable                        | Expiration<br>Date | Title                | Amount or<br>Number of<br>Shares        |
| Apollo<br>Operating<br>Group<br>units               | (1)   | 05/14/2013                           |   | M                                      | 4,425,620  | (3)  | <u>(4)</u>         | Class<br>A<br>Shares | 4,425,620                               |
| Apollo<br>Operating<br>Group<br>units               | (1)   |                                      |   |  |  | (3)  | <u>(4)</u>         | Class<br>A<br>Shares | (1)                                     |

## **Reporting Owners**

| Reporting Owner Name / Address | orting Owner Name / Address |           |         |       |  |
|--------------------------------|-----------------------------|-----------|---------|-------|--|
|                                | Director                    | 10% Owner | Officer | Other |  |

ROWAN MARC J C/O APOLLO GLOBAL MANAGEMENT, INC. 9 WEST 57TH STREET, 43RD FLOOR NEW YORK, NY 10019

X Senior Managing Director

Relationshine

## **Signatures**

/s/ Marc J. Rowan 05/16/2013

\*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each Apollo Operating Group ("AOG") unit represents a right to receive one Class A share, subject to the restrictions and provisions set forth in the Agreement Among Principals, dated July 13, 2007, by and among the reporting person, Leon Black and Joshua Harris, and the Exchange Agreement, dated July 13, 2007, by and among Apollo Global Management, LLC, AP Professional Holdings, L.P. and the other parties thereto, as amended and restated on May 6, 2013.
- (2) By MJR Foundation LLC, an estate planning vehicle for which voting and investment control are exercised by the reporting person. The reporting person is an indirect limited partner in BRH Holdings, L.P., which holds 87.8% of the limited partnership interests in AP Professional Holdings, L.P., the direct holder of the AOG units. The AOG units indirectly held by the reporting person are the number of AOG units that he has a right to receive as an indirect limited partner in BRH Holdings, L.P., subject to the restrictions and provisions set

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forth in the Agreement Among Principals and the Exchange Agreement described in footnote (1) above.

- (3) The AOG units vested in equal monthly installments of 995,644 (or 995,645) units through December 31, 2011.
- (4) Not applicable.
- (5) Pursuant to Instruction 4(c)(iii), this response has been left blank.
  - By AP Professional Holdings, L.P. The reporting person is an indirect limited partner in BRH Holdings, L.P., which holds 87.8% of the limited partnership interests in AP Professional Holdings, L.P., the direct holder of the AOG units. The AOG units indirectly held by the
- (6) reporting person are the number of AOG units that he has a right to receive as an indirect limited partner in BRH Holdings, L.P., subject to the restrictions and provisions set forth in the Agreement Among Principals and the Exchange Agreement described in footnote (1) above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.