Edgar Filing: Solera National Bancorp, Inc. - Form 4

Solera National Bancorp, Inc. Form 4 September 03, 2014

Stock,

\$0.01 Par Value 09/02/2014

September 03, 2014 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). Print or Type Responses) 1. Name and Address of Reporting Person Solera National Bancorp, Inc. [OTCOB:SLRK] (Last) (First) (Middle) Date of Earliest Transaction (Month/Day/Year) (Month/Day/Year) (State) CO Security (State) CI		2 2014								
Check this box if no longer subject to Section 16. Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Form 4 or Form 5 OMB STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 17(a) of the Public Utility Holding Company Act of 1935, or Section 30(h) of the Investment Company Act of 1935, or Section t(b). OMB Section 17(a) of the Public Utility Holding Company Act of 1935, or Section 30(h) of the Investment Company Act of 1940 1(b). State Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). State Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). State Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). State Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). State Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). State Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). State Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). State Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). State Section 17(a) of the Public Utility Holding Company Act of 1940 1(c). State Section 17(a) of the Public Utility Holding Company Act of 1940 1(c). State Section 17(a) of the Public Utility Holding Company Act of 1940 1(c). State Section 17(a) of the Public Utility Holding Company Act of 1940 1(c). State Section 17(a) of the Public Utility Holding Company Act of 1940 1(c). State Section 17(a) of the Public Utility Holding Company Act of 1940 1(c). State Section 17(a) of the Public Utility Holding Company Act of 1940 1(c). State Section 17(a) of the Public Utility Holding Company Act of 1940 1(c). State Section 17(a) of the Public										
Check this box if no longer subject to Section 16. Form 4 or Form 4 or Form 4 or Form 5 obligations Section 17(a) of the Public Utility Holding Company Act of 1935, or Section 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person. ¹ Liebman Eric B. 2. Issuer Name and Ticker or Trading Symbol Solera National Bancorp, Inc. [OTCQB:SLRK] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 319 S. SHERIDAN BLVD (City) (State) (Zip) LAKEWOOD, CO 80226 (City) (State) (Zip) (City) (State) (Zip) LAKEWOOD, CO 80226 (City) (State) (Zip) (City) (State) (Zip) (City) (State) (Zip) Table I - Non-Derivative Securities Acquired 5. Amount of Solera National Bancorp, Inc. (Check all applicable) (Check all applicab		UNITED 5					COMMISSION	OMB		
1. Name and Address of Reporting Person 2 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer Liebman Eric B. Solera National Bancorp, Inc. [OTCQB:SLRK] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) 319 S. SHERIDAN BLVD 09/02/2014 09/02/2014	if no long subject to Section 1 Form 4 o Form 5 obligation may cont <i>See</i> Instru 1(b).	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							2005 average irs per	
(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)	1. Name and A	Address of Reporting Po	erson <u>*</u> 2. Issuer	Name and	Ticker or 7	Frading		Reporting Pers	son(s) to	
319 S. SHERIDAN BLVD (Month/Day/Year) Image: Constraint of the problem of the pr	Liebman Er	Solera N	Solera National Bancorp, Inc.							
Instrumentation	~ /	(Month/D	(Month/Day/Year)			Officer (give title Other (specify				
LARE WOOD, CO 80220 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of Security 2. Transaction Date Security 2A. Deemed 3. 4. Securities Acquired 5. Amount of Securities 6. Ownership 7. Nature of Form: Direct Instr. 3) 2A. Deemed 3. 4. Securities Acquired 5. Amount of Securities 6. Ownership 7. Nature of Form: Direct (Instr. 3) (Month/Day/Year) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership (Instr. 4) (Month/Day/Year) Code V Amount (D) Person Instr. 4) (Instr. 4)							Applicable Line)			
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)3.4. Securities Acquired Transaction(A) or Disposed of Code (D)5. Amount of Securities6. Ownership Form: Direct7. Nature of Indirect0. Month/Day/Year)2. Transaction Date, if any (Month/Day/Year)3.4. Securities Acquired Transaction(A) or Disposed of (D)5. Amount of Securities6. Ownership Form: Direct7. Nature of Form: Direct0. Month/Day/Year)(Instr. 8)(Instr. 3, 4 and 5)Owned Following (Instr. 4)Indirect (I) (Instr. 4)Ownership Following (Instr. 4)0. Month/Day/Year)CodeVAmount(D)Price	LAKEWOC	DD, CO 80226						Aore than One Re	eporting	
Security (Month/Day/Year) Execution Date, if any Code (D) Beneficially (D) or Beneficial (D) or Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) Code V Amount (D) Price (Code V Amount (D) Price (Code V Amount (D) Price (Code V Amount (Code V A	(City)	(State) (Z	Zip) Tabl	e I - Non-De	erivative S	ecurities Acq	uired, Disposed of	f, or Beneficial	lly Owned	
	Security	y (Month/Day/Year) Execution Date, if any (Month/Day/Year)		Transactio Code (Instr. 8)	Transaction(A) or Disposed of Code (D) Instr. 8) (Instr. 3, 4 and 5) (A) or		Securities Beneficially Owned Following Reported Transaction(s)	Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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A ^{\$}_{4.75} 225

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

225

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu: Bene Own Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Liebman Eric B. 319 S. SHERIDAN BLVD LAKEWOOD, CO 80226	Х						
Signatures							
Melissa K. Larkin, attorney-in-fact	0	9/03/2014					
<u>**</u> Signature of Reporting Person		Date					

**Signature of Reporting Person Date Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.