PTC THERAPEUTICS, INC.

Form 4 June 28, 2013

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5

Check this box

if no longer

obligations may continue.

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **HBM** Healthcare Investments

CENTENNIAL TOWERS, 3RD

(Cayman) Ltd.

(City)

(Last) (First)

(Middle)

FLOOR, 2454 WEST BAY ROAD

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

PTC THERAPEUTICS, INC. [PTCT]

3. Date of Earliest Transaction (Month/Day/Year)

4. If Amendment, Date Original

06/25/2013

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director X\_\_ 10% Owner Officer (give title \_ Other (specify below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Zip)

GRAND CAYMAN, E9 00000

Table I - Non-Deriva	tive Securities	Acquired Dispose	d of or Ro	naficially ()	wnod
Table I - Non-Deriva	uive Securiues .	A cautrea. Disbose	i oi, or be	nencialiv O	wnea

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) our Disposed of (D) (Instr. 3, 4 and 5)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	06/25/2013		C	280,178	A	(1)	280,178	D	
Common Stock	06/25/2013		C	1,561,317	A	<u>(2)</u>	1,841,495	D	
Common Stock	06/25/2013		P	666,676	A	\$ 15	2,508,171	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

**SEC 1474** (9-02)

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# displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	tionDerivative Expirate Securities (Month		Expiration D	Date Exercisable and spiration Date Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Series Four Senior Preferred Stock	\$ 0	06/25/2013		С		280,178	<u>(1)</u>	<u>(1)</u>	Common Stock	280,178	
Series Five Junior Preferred Stock	\$ 0	06/25/2013		С		1,561,317	(2)	(2)	Common Stock	1,561,31	

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Othe

HBM Healthcare Investments (Cayman) Ltd.

CENTENNIAL TOWERS, 3RD FLOOR
2454 WEST BAY ROAD

GRAND CAYMAN, E9 00000

#### **Signatures**

/s/ Jean-Marc Lesieur, Operations
Manager

06/27/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each share of issuer's Series Four Senior Preferred Stock converted into 1 share of the issuer's Common Stock on June 25, 2013 pursuant to an election by the holders of a majority of the shares of the issuer's preferred stock in connection with the closing of the issuer's firm commitment underwritten public offerng pursuant to a registration statement on Form S-1 under the Securities Act of 1933, as amended (the "IPO") and had no expiration date.
- (2) Each share of issuer's Series Five Junior Preferred Stock converted into 1 share of the issuer's Common Stock on June 25, 2013 pursuant to an election by the holders of a majority of the shares of the issuer's preferred stock in connection with the closing of the issuer's IPO

Reporting Owners 2

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and had no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.