Edgar Filing: BERKSHIRE HATHAWAY INC - Form 4

BERKSHIRE HATHAWAY INC Form 4 September 02, 2009 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading **BILL & MELINDA GATES** Symbol FOUNDATION TRUST BERKSHIRE HATHAWAY INC [BRK/B] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 1551 EASTLAKE AVENUE E. 09/01/2009 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) SEATTLE, WA 98102 (City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed 3. Execution Date, if Security (Month/Day/Year) Transaction Disposed of (D) Code

OMB APPROVAL OMB 3235-0287 Number: January 31, Expires: 2005 Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X__ 10% Owner Other (specify Officer (give title below) below) 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting Person Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 7. Nature of 4. Securities Acquired (A) 5. Amount of 6. Securities Ownership Indirect (Instr. 3) (Instr. 3, 4 and 5) Beneficially Form: Beneficial any (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I) (A) (Instr. 4) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Class B 00/01/2000 $\mathbf{c}(1)$ 00 \$ 2 222 1 616 169

Stock	09/01/2009	3 <u>(1)</u>	80	D	\$ 3,222	1,040,408	D
Class B Common Stock	09/01/2009	S	20	D	\$ 3,222.05	1,646,448	D
Class B Common Stock	09/01/2009	S	70	D	\$ 3,228	1,646,378	D
Class B Common	09/01/2009	S	130	D	\$ 3,230	1,646,248	D

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Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	int of lying ities	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owno Follo Repo Trans (Instr
			Code V	4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
BILL & MELINDA GATES FOUNDATION TRUST 1551 EASTLAKE AVENUE E. SEATTLE, WA 98102		Х					
Signaturos							

Signatures

Bill & Melinda Gates Foundation Trust By: /s/ Laurie A. Smiley, Attorney-in-Fact for each 09/02/2009 of the Co-Trustees, William H. Gates III and Melinda French Gates

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). **
- The sales reported in this filing were made pursuant to Bill & Melinda Gates Foundation Trust's 10b5-1 sales plan to facilitate compliance (1)with federal excise tax rules limiting excess business holdings by private foundations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date