

NEWMONT MINING CORP /DE/
Form 4
November 09, 2004

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HANSEN BRUCE D

2. Issuer Name and Ticker or Trading Symbol
NEWMONT MINING CORP /DE/
[NEM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
11/05/2004

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Senior Vice President

1700 LINCOLN STREET
(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

DENVER, CO 80203
(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | (A) or (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|------------|---|--|-----------------------------------|
| | | | Code | V | Amount | Price | | |
| Common Stock, \$1.60 par value | 11/05/2004 | | M | | 8,067 | A \$ 28.79 | 52,809 | D |
| Common Stock, \$1.60 par value | 11/05/2004 | | S | | 8,047 | D \$ 48.6 | 44,762 | D |
| Common Stock, \$1.60 par value | 11/05/2004 | | S | | 20 | D \$ 48.57 | 44,742 | D |

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| | | | | | | | |
|---|------------|---|--------|---|-------------|--------|---|
| Common Stock, \$1.60 par value | 11/05/2004 | M | 7,598 | A | \$ 32.56 | 52,340 | D |
| Common Stock, \$1.60 par value | 11/05/2004 | S | 2,018 | D | \$ 48.6 | 50,322 | D |
| Common Stock, \$1.60 par value | 11/05/2004 | S | 5,580 | D | \$ 48.52 | 44,742 | D |
| Common Stock, \$1.60 par value | 11/05/2004 | M | 10,000 | A | \$ 28.32 | 54,742 | D |
| Common Stock, \$1.60 par value | 11/05/2004 | S | 480 | D | \$ 48.57 | 54,262 | D |
| Common Stock, \$1.60 par value | 11/05/2004 | S | 1,100 | D | \$ 48.56 | 53,162 | D |
| Common Stock, \$1.60 par value | 11/05/2004 | S | 2,000 | D | \$ 48.55 | 51,162 | D |
| Common Stock, \$1.60 par value | 11/05/2004 | S | 1,400 | D | \$ 48.54 | 49,762 | D |
| Common Stock, \$1.60 par value | 11/05/2004 | S | 4,400 | D | \$ 48.53 | 45,362 | D |
| Common Stock, \$1.60 par value | 11/05/2004 | S | 620 | D | \$ 48.52 | 44,742 | D |
| Common Stock, \$1.60 par value | 11/05/2004 | M | 7,500 | A | \$ 21.69 | 52,242 | D |
| | 11/05/2004 | S | 4,200 | D | | 48,042 | D |

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| | | | | | | | |
|--------------------------------|------------|---|--------|---|----------|-----------------------|---|
| Common Stock, \$1.60 par value | | | | | \$ 48.52 | | |
| Common Stock, \$1.60 par value | 11/05/2004 | S | 3,300 | D | \$ 48.51 | 44,742 | D |
| Common Stock, \$1.60 par value | 11/05/2004 | M | 35,000 | A | \$ 18.19 | 79,742 | D |
| Common Stock, \$1.60 par value | 11/05/2004 | S | 9,100 | D | \$ 48.51 | 70,642 | D |
| Common Stock, \$1.60 par value | 11/05/2004 | S | 25,200 | D | \$ 48.5 | 45,442 | D |
| Common Stock, \$1.60 par value | 11/05/2004 | S | 600 | D | \$ 48.49 | 44,842 | D |
| Common Stock, \$1.60 par value | 11/05/2004 | S | 100 | D | \$ 48.48 | 44,742 ⁽⁶⁾ | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------|------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number |

| | | | | | | | | of Shares |
|--|----------|------------|---|--------|------------|------------|-----------------|-----------|
| Employee Stock Option (right to buy) | \$ 28.79 | 11/05/2004 | M | 8,067 | <u>(1)</u> | 07/01/2005 | Common Stock | 8,067 |
| Employee Stock Option (right to buy) | \$ 32.56 | 11/05/2004 | M | 7,598 | <u>(2)</u> | 07/01/2006 | Common Stock | 7,598 |
| Employee Stock Option (right to buy) | \$ 28.32 | 11/05/2004 | M | 10,000 | <u>(3)</u> | 05/19/2008 | Common Stock | 10,000 |
| Employee Stock Option (right to buy) | \$ 21.69 | 11/05/2004 | M | 7,500 | <u>(4)</u> | 11/17/2008 | Common Stock | 7,500 |
| Employee Stock Option (right to buy) | \$ 18.19 | 11/05/2004 | M | 35,000 | <u>(5)</u> | 01/26/2009 | Common Stock | 35,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-----------------------|-------|
| | Director | 10% Owner | Officer | Other |
| HANSEN BRUCE D 1700 LINCOLN STREET DENVER, CO 80203 | | | Senior Vice President | |

Signatures

Ardis Young, Assistant Secretary, as
attorney-in-fact

11/09/2004

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The option vested in four equal installments beginning July 1, 1996, 1997, 1998 and 1999.

(2) The option vested in four equal installments beginning July 1, 1997, 1998, 1999 and 2000.

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- (3) The option vested in four equal installments on June 19, 1999, 2000, 2001 and 2002.
- (4) The option vested in four equal installments on November 17, 1999, 2000, 2001 and 2002.
- (5) The option vested in four equal installments on January 26, 2000, 2001, 2002 and 2003.
- (6) As of October 31, 2004 the reporting person held 5,394 shares of Newmont Mining Corporation common stock, indirectly, in his 401-K Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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