Ledwidge Francis Form 144 November 21, 2012

UNITED STATES

OMB APPROVAL

SECURITIES AND EXCHANGE COMMISSION

OMB

3235-0101

Number:

Washington, D.C. 20549 Expires: February 28, 2014
Estimated average burden

FORM 144

hours per response2.00

NOTICE OF PROPOSED SALE OF SECURITIES

SEC USE ONLY

DOCUMENT

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933 SEQUENCE NO.

CUSIP NUMBER

Transmit for filing 3 copies of this form concurrently with either placing ATTENTION: an order with a broker to execute sale or executing a sale directly with a market maker

WORK LOCATION

1(a) NAME OF ISSUER (*Please type* (b) IRS IDENT. NO. (c) S.E.C. FILE NO. (e) TELEPHONE NO.

Artio Global Investors Inc. 13-6174048 1-34457 AREA CODE NUMBER

212 297-3600

1(d) ADDRESS OF ISSUER STREETCITYSTATEZIP CODE330 Madison AvenueNew YorkNY10017

2(a) NAME OF PERSON

FOR WHOSE ACCOUNT (b) RELATIONSHIP TO (c) ADDRESS CITY STATEZIP CODE

THE SECURITIES ARE TO ISSUER STREET

BE SOLD

Francis Ledwidge Chairman, Director 330 Madison Ave. New York NY 10017

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

3(a)(b) sec use only (c) (d) (e) Title of the Name and Address of Each Broker Through Whom the Broker-Dealer or Other Units Number of Shares Number Aggregate Market or Other Securities are to be Offered or Each Market Maker Value File Number to be Sold Securities Outstand who is Acquiring the Securities (See instr. 3(d)) to be Sold (See instr. 3(c)) (See inst Common 15,000

Edgar Filing: Ledwidge Francis - Form 144

stock BNY Mellon Capital Markets LLC, 480

Washington

Blvd.

Jersey City, NJ 07310

\$28,350 59,983,5 (as of 11/19/12) (as of 10

INSTRUCTIONS:

- 1.(a) Name of Issuer
- (b) Issuer's I.R.S. Identification Number
- (c) Issuer's S.E.C. file number, if any
- (d) Issuer's address, including zip code
- (e) Issuer's telephone number, including area code
- 2.(a) Name of Person for whose account the securities are to be sold
- (b) Such person's relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
- (c) Such person's address, including zip code
- 3.(a) Title of the class of securities to be sold
- (b) Name and address of each broker through whom the securities are intended to be sold
 - (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
- Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice
- (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer
- (f) Approximate date on which the securities are to be sold
- (g) Name of each securities exchange, if any, on which the securities are intended to be sold

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1147 (08-07)

TABLE I - SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold

and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of Name of Person from Whom Acquired Amount of Date of Date you Acquired Nature of Acquisition Transaction (If gift, also give date donor acquired) Securities Acquired Payment Na the Class **COMMON STOCK**

5/17/12 Shares acquired as director

Artio Global Investors Inc.

11/20/12 Cas

If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the **INSTRUCTIONS:** consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

TABLE II - SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Amount of

Name and Address of Seller Title of Securities Sold Date of Sale

Gross Proceeds

Securities Sold

None

REMARKS:

INSTRUCTIONS: ATTENTION:

See the definition of "person" in paragraph (a) of Rule 144. Information for whose account the securities to is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If

Edgar Filing: Ledwidge Francis - Form 144

such person has adopted a written plan or given trading instructions to satisfy Rule 10b5-1 under the exchange act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date

November 21, 2012	DATE OF NOTICE	
DATE OF PLAN ADO IF RELYING ON RUL	PTION OR GIVING OF INSTRUCTION, E 10B5-1	/s/ Francis Ledwidge (SIGNATURE)
shall be manually signe		ities are to be sold. At least one copy of the notice
ATTENTION: Intentio 1001)	onal misstatements or omission of facts constitution	tute Federal Criminal Violations (See 18 U.S.C.
Potential persons who are to respond to the collection of information contained in this form are not required to Respond unless the form displays a currently valid OMB control number.		
SEC 1147 (02-08)		