**OBUS NELSON** Form 4 June 22, 2012

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* WYNNEFIELD PARTNERS SMALL CAP VALUE LP

(Last)

(First)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

Summer Infant, Inc. [SUMR] 3. Date of Earliest Transaction

(Month/Day/Year) 06/20/2012

Issuer

5. Relationship of Reporting Person(s) to

(Check all applicable)

\_X\_\_ 10% Owner

\_\_ Other (specify

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

450 SEVENTH AVENUE, SUITE 509

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

Officer (give title

Form filed by One Reporting Person X Form filed by More than One Reporting

below)

NEW YORK, NY 10123

(City)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3,	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock	06/20/2012		P	63,600	A	\$ 2.8	730,447	$   \begin{array}{c}     D (1) (2) (3) \\     \hline     (4)   \end{array} $	
Common Stock	06/20/2012		P	96,884	A	\$ 2.8	1,082,022	I (2)	See also footnote (1) (3) (4)
Common Stock	06/20/2012		P	51,516	A	\$ 2.8	575,531	I (3)	See also footnote (1) (2) (4)
Common Stock	06/21/2012		P	6,750	A	\$ 2.75	737,197	$D_{\underline{(4)}} (1) (2) (3)$	
	06/21/2012		P	10,283	A		1,092,305	I (2)	

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Common Stock					\$ 2.75			See also footnote (1) (3) (4)
Common Stock	06/21/2012	P	5,468	A	\$ 2.75	580,999	I (3)	See also footnote (1) (2) (4)
Common Stock	06/22/2012	P	64,244	A	\$ 2.8	801,441	$   \begin{array}{c}     D (1) (2) (3) \\     \hline     (4)   \end{array} $	
Common Stock	06/22/2012	P	97,866	A	\$ 2.8	1,190,171	I (2)	See also footnote (1) (3) (4)
Common Stock	06/22/2012	P	52,038	A	\$ 2.8	633,037	I (3)	See also footnote (1) (2) (4)
Common Stock	06/22/2012	P	5,131	A	\$ 2.76	806,572	$   \begin{array}{c}     D (1) (2) (3) \\     \hline     (4)   \end{array} $	
Common Stock	06/22/2012	P	7,816	A	\$ 2.76	1,197,987	I (2)	See also footnote (1) (3) (4)
Common Stock	06/22/2012	P	4,156	A	\$ 2.76	637,193	I (3)	See also footnote (1) (2) (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	ctionNumber	Expiration D	ate	Amou	ınt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	3) Derivativ	e		Secur	ities	(Instr. 5)
	Derivative				Securities	3		(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
						Date	Expiration	Title	or Number	
						Exercisable	Date	Title	of	
				Codo	V (A) (D)					
				Code	V (A) (D)				Shares	

9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

(9-02)

# **Reporting Owners**

Reporting Owner Name / Address		Relationships			
		10% Owner	Officer	Other	
WYNNEFIELD PARTNERS SMALL CAP VALUE LP 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123		X			
WYNNEFIELD PARTNERS SMALL CAP VALUE LP I 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123		X			
WYNNEFIELD SMALL CAP VALUE OFFSHORE FUND LTD 450 SEVENTH AVE SUITE 509 NEW YORK, NY 10123		X			
WYNNEFIELD CAPITAL MANAGEMENT LLC 450 SEVENTH AVE SUITE 509 NEW YORK, NY 10123		X			
WYNNEFIELD CAPITAL INC 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123		X			
OBUS NELSON 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123		X			
LANDES JOSHUA 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123		X			
Signatures					
WYNNEFIELD PARTNERS SMALL CAP VALUE, L.P., By: Wy Management, LLC, General Partner, /s/ Nelson Obus, Managing Mo		Capital		06/22/2012	
**Signature of Reporting Person				Date	
WYNNEFIELD PARTNERS SMALL CAP VALUE, L.P. I, By: W Management, LLC, General Partner, /s/ Nelson Obus, Managing Mo	•	l Capital		06/22/2012	
**Signature of Reporting Person				Date	
WYNNEFIELD SMALL CAP VALUE OFFSHORE FUND, LTD Inc., /s/ Nelson Obus, President	., By: Wy	nnefield Cap	ital,	06/22/2012	
**Signature of Reporting Person				Date 06/22/2012	

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# WYNNEFIELD CAPITAL, INC. PROFIT SHARING PLAN, /s/ Nelson Obus, Authorized Signatory

**Signature of Reporting Person	on	Date			
WYNNEFIELD CAPITAL MANAGEMENT, LLC, /s/ Nelson Obus, Managing Member					
**Signature of Reporting Pers	on	Date			
WYNNEFIELD CAPITAL, INC., /s/ Nelson Obus, President					
**Signature of Reporting Pers	on	Date			
/s/ Nelson Obus, Individually		06/22/2012			
**Signature of Reporting Pers	on	Date			
/s/ Joshua Landes, Individually		06/22/2012			
**Signature of Reporting Pers	on	Date			

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - On the date hereof, Wynnefield Partners Small Cap Value L.P. ("Partners") directly beneficially owns 806,572 shares of common stock of Summer Infant, Inc. (SUMR). Wynnefield Capital Management, LLC ("WCM"), as the sole general partner of Partners, has an indirect
- (1) beneficial ownership interest in the shares of common stock that Partners directly beneficially owns. Nelson Obus and Joshua Landes, as co-managing members of WCM, have an indirect beneficial ownership interest in the shares of common stock that Partners directly beneficially owns.
  - On the date hereof, Partners has an indirect beneficial ownership interest in 1,197,987 shares of common stock, which are directly beneficially owned by Wynnefield Partners Small Cap Value L.P.I ("Partners I"). WCM, as the sole general partner of Partners I, has
- (2) indirect beneficial ownership interest in the shares of common stock that Partners I directly beneficially owns. Mr. Obus and Mr. Landes, as co-managing members of WCM, have an indirect beneficial ownership interest in the shares of common stock that Partners I directly beneficially owns.
  - On the date hereof, Partners has an indirect beneficial ownership interest in 637,193 shares of common stock which are directly beneficially owned by Wynnefield Small Cap Value Offshore Fund, Ltd ("Offshore"). Wynnefield Capital, Inc. ("WCI"), as the sole
- (3) investment manager of Offshore, has an indirect beneficial ownership interest in the shares of common stock that Offshore directly beneficially owns. Mr. Obus and Mr. Landes, as principal executive officers of WCI have an indirect beneficial ownership interest in the shares of common stock that Offshore directly beneficially owns.
- On the date hereof, Partners has an indirect beneficial ownership interest in 85,000 shares of common stock, which are directly (4) beneficially owned by the Plan. Mr. Obus has the power to vote and dispose of the Plan's investments in securities and has an indirect beneficial ownership interest in the shares of common stock that the Plan directly beneficially owns.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4