

NUWAVE TECHNOLOGIES INC

Form 3/A

October 07, 2005

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Connolly Timothy J

(Last) (First) (Middle)

109 N. POST OAK
LANE,,Â SUITE 422

(Street)

HOUSTON,Â TXÂ 77024

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

08/31/2005

3. Issuer Name **and** Ticker or Trading Symbol

NUWAVE TECHNOLOGIES INC [NUWV]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☒ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

CEO, Proposed Director

5. If Amendment, Date Original
Filed(Month/Day/Year)

09/16/2005

6. Individual or Joint/Group
Filing(Check Applicable Line)☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)Date Expiration
Exercisable Date3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)Title Amount or
Number of
Shares4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect
(I)6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

(Instr. 5)

Series B Preferred Stock (convertible) ⁽¹⁾	Â ⁽²⁾	Â ⁽²⁾	Common Stock	79,331 ⁽⁴⁾	\$ 0 ⁽²⁾	D	Â
Series B Preferred Stock (convertible) ⁽³⁾	Â ⁽²⁾	Â ⁽²⁾	Common Stock	14,003 ⁽⁴⁾	\$ 0 ⁽²⁾	I	Held by Spouse, Jan Carson Connolly

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Connolly Timothy J 109 N. POST OAK LANE, SUITE 422 HOUSTON, TX 77024	Â	Â X	Â CEO, Proposed Director	Â

Signatures

/s/Timothy J.
Connolly

10/07/2005

Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This Form 3 was previously amended on September 21, 2005 to (a) properly include in this Table II pursuant to Instruction 5(c)(i)
- (1) Timothy J. Connolly's direct ownership of shares of convertible Series B Preferred stock and (b) remove the categorization of said shares from Table I as originally filed with the SEC on September 16, 2005.
- Pursuant to that certain Merger Agreement dated August 31, 2005 and set forth in Issuer's Current Report on Form 8-K as filed with the
- (2) U.S. Securities and Exchange Commission on September 8, 2005 as Exhibit 99.1, such Series B Preferred Stock will be converted into shares of Common Stock as is more fully explained and under the conditions set forth in the Merger Agreement.
- This Form 3 was previously amended on September 21, 2005 to properly include Jan Carson Connolly's direct ownership of her shares of
- (3) convertible Series B Preferred stock. Jan Carson Connolly is the spouse of Timothy J. Connolly and therefore Mr. Connolly is considered to have an indirect ownership of her shares of Series B Preferred stock.
- (4) This Form 3 has been further amended to list the correct number of shares held by Timothy J. Connolly and Jan Carson Connolly which were erroneously indicated in our previous filings.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.