

BASSWOOD CAPITAL MANAGEMENT, L.L.C.

Form 4

June 05, 2018

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BASSWOOD CAPITAL  
MANAGEMENT, L.L.C.**

(Last) (First) (Middle)

**645 MADISON AVENUE, 10TH  
FLOOR,**

(Street)

**NEW YORK, NY 10022**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**Regional Management Corp. [RM]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**06/04/2018**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☒ 10% Owner  
☐ Officer (give title below) ☒ Other (specify  
below)

Director-by-Deputization

6. Individual or Joint/Group Filing(Check  
Applicable Line)

☐ Form filed by One Reporting Person  
☒ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock, par value \$0.10 per share ("Common Stock")	06/04/2018		P		156	A	\$ 36.59	27,681	I	See footnotes <u>(1)</u> <u>(2)</u>
Common Stock	06/04/2018		P		242	A	\$ 36.58	27,923	I	See footnotes <u>(1)</u> <u>(2)</u>
Common Stock	06/04/2018		P		1,535	A	\$ 36.59	80,083	I	See footnotes <u>(1)</u> <u>(3)</u>

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Common Stock	06/04/2018	P	2,381	A	\$ 36.58	82,464	I	See footnotes (1) (3)
Common Stock	06/04/2018	P	785	A	\$ 36.59	26,769	I	See footnotes (1) (4)
Common Stock	06/04/2018	P	1,215	A	\$ 36.58	27,984	I	See footnotes (1) (4)
Common Stock	06/04/2018	P	3,135	A	\$ 36.59	311,328	I	See footnotes (1) (5)
Common Stock	06/04/2018	P	4,862	A	\$ 36.58	316,190	I	See footnotes (1) (5)
Common Stock						256,635	I	See footnotes (1) (6)
Common Stock						124,930	I	See footnotes (1) (7)
Common Stock						690,180	I	See footnotes (1) (8)
Common Stock						3,407	I	See footnotes (1) (9)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
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					Amount or Number of Shares
		Date Exercisable	Expiration Date	Title	
Code	V	(A)	(D)		

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BASSWOOD CAPITAL MANAGEMENT, L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022	X	X		Director-by-Deputization
LINDENBAUM MATTHEW A C/O BASSWOOD CAPITAL MANAGEMENT L.L.C. 645 MADISON AVENUE 10TH FLOOR NEW YORK, NY 10022	X	X		Director-by-Deputization
LINDENBAUM BENNETT D C/O BASSWOOD CAPITAL MANAGEMENT, L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022	X	X		Director-by-Deputization
BASSWOOD FINANCIAL LONG ONLY FUND, L.P. C/O BASSWOOD CAPITAL MANAGEMENT L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022	X	X		Director-by-Deputization
BASSWOOD FINANCIAL FUND, INC. C/O BASSWOOD CAPITAL MANAGEMENT L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022	X	X		Director-by-Deputization
BASSWOOD OPPORTUNITY FUND INC C/O BASSWOOD CAPITAL MANAGEMENT L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022	X	X		Director-by-Deputization
BASSWOOD PARTNERS, L.L.C. C/O BASSWOOD CAPITAL MANAGEMENT L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022	X	X		Director-by-Deputization

## Signatures

BASSWOOD CAPITAL MANAGEMENT, L.L.C., /s/ Matthew  
Lindenbaum

06/05/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) See Exhibit 99.1

(2) See Exhibit 99.1

(3) See Exhibit 99.1

(4) See Exhibit 99.1

(5) See Exhibit 99.1

(6) See Exhibit 99.1

(7) See Exhibit 99.1

(8) See Exhibit 99.1

(9) See Exhibit 99.1

### Remarks:

Exhibit List:

Exhibit 99.1 - Explanation of Responses

Exhibit 99.2 - Joint Filer Information

Exhibit 99.3 - Joint Filers' Signatures

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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