Edgar Filing: Broadcom Ltd - Form 4/A

Broadcom Ltd Form 4/A Form 4/A Check this box if no longer Washington, D.C. 20549 Check this box Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF State provide to Section 16. Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Stimated version Stimated version Solution 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Stimated version										
(Print or Type Responses)										
1. Name and Address of Rep SAMUELI HENRY	2. Issuer N Symbol Broadcom		Ticker or T VGO]	rading	g	5. Relationship of Reporting Person(s) to Issuer				
(Last) (First)		3. Date of Earliest Transaction					(Check all applicable)			
C/O AVAGO TECHNO INC., 1320 RIDDER P	(Month/Day 02/01/201			below)	ive title 10% Owner give title Other (specify below) ef Technical Officer					
(Street)		ndment, Date Original nth/Day/Year) 016				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
SAN JOSE, CA 95131 Form filed by More than One Reporting Person								eporting		
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
	on Date 2A. Deema /Year) Execution any (Month/Da	Date, if	3. 4. Securities Acquired e, if Transaction(A) or Disposed of Code (D) ear) (Instr. 8) (Instr. 3, 4 and 5) (A) or				Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Ordinary		(Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Ordinary Shares, no par value $\frac{(1)}{(2)}$ 02/01/201	6		А	3,130 (3)	A	\$0	3,130	D		
Ordinary Shares, no par value $\frac{(1)}{(2)}$ 02/01/201	6		А	22,947 (4)	А	\$0	26,077	D		
Ordinary Shares, no par value $\frac{(1)}{(2)}$ 02/01/201	6		А	52,235 (5)	А	\$ 0	78,312	D		

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Ordinary Shares, no par value (1) (2)	02/01/2016	A	79,755 (<u>6)</u>	A	\$ 0	158,067	D
Ordinary Shares, no par value (1) (2)	02/01/2016	A	66,980 (7)	A	\$ 0	225,047	D
Ordinary Shares, no par value (1) (2)	02/01/2016	А	7,323 (8)	A	\$ 0	232,370	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amor Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
SAMUELI HENRY C/O AVAGO TECHNOLOGIES US INC. 1320 RIDDER PARK DRIVE SAN JOSE, CA 95131	Х		Chief Technical Officer					

Signatures

/s/ Patricia McCall, Attorney-in-Fact for Henry Samueli

**Signature of Reporting Person

Date

02/18/2016

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This amendment is being filed solely to report Restricted Stock Unit Awards ("RSUs") assumed by the Issuer in connection with the transactions consummated on February 1, 2016 pursuant to the terms of an Agreement and Plan of Merger, dated as of May 28, 2015, by and among the Issuer, Avago Technologies Limited ("Avago"), Broadcom Corporation ("Broadcom"), Broadcom Cayman L.P. (f/k/a

 and anong the Issuel, Avago Technologies Enniced (Avago), Bloadcoin Corporation (Bloadcoin), Bloadcoin Cayman LT. (IVA Safari Cayman L.P.), Avago Technologies Cayman Holdings Ltd., Avago Technologies Cayman Finance Limited, Buffalo CS Merger Sub, Inc. ("CS Merger Sub") and Buffalo UT Merger Sub, Inc. ("UT Merger Sub," and, together with CS Merger Sub, the "Merger Subs"), pursuant to which (continued in Footnote 2)

(a) the Issuer indirectly acquired Avago pursuant to the terms of a scheme of arrangement under Singapore law consummated in accordance with Section 210 of the Companies Act (Chapter 50) of Singapore, and (b) the Merger Subs merged with and into Broadcom,

(2) with Broadcom as the surviving corporation in such mergers of the Issuer, which were inadvertently omitted from the Reporting Person's Form 4 filing on February 3, 2016 (the "Original Form 4"). All other information contained in the Original Form 4 was correct and complete.

Upon vesting of the RSUs, the Reporting Person is entitled to receive one (1) ordinary share for each one (1) RSU. The RSUs vest in(3) equal quarterly installments such that the RSU vests in full on February 5, 2016, subject to the Reporting Person's continuing service on each of the vesting dates.

Upon vesting of the RSUs, the Reporting Person is entitled to receive one (1) ordinary share for each one (1) RSU. The RSUs vest in(4) equal quarterly installment such that the RSU vests in full on February 5, 2017, subject to the Reporting Person's continuing service on each of the vesting dates.

Upon vesting of the RSUs, the Reporting Person is entitled to receive one (1) ordinary share for each one (1) RSU. The RSUs vest in equal quarterly installment such that the RSU vests in full on February 5, 2018, subject to the Reporting Person's continuing service on each of the vesting dates.

Upon vesting of the RSUs, the Reporting Person is entitled to receive one (1) ordinary share for each one (1) RSU. The RSUs vest in equal quarterly installment such that the RSU vests in full on February 5, 2019, subject to the Reporting Person's continuing service on each of the vesting dates.

Upon vesting of the RSUs, the Reporting Person is entitled to receive one (1) ordinary share for each one (1) RSU. The RSUs vest in(7) equal quarterly installment such that the RSU vests in full on February 5, 2020, subject to the Reporting Person's continuing service on each of the vesting dates.

Upon vesting of the RSUs, the Reporting Person is entitled to receive one (1) ordinary share for each one (1) RSU. The RSUs vest in equal quarterly installment such that the RSU vests in full on February 5, 2021, subject to the Reporting Person's continuing service on each of the vesting dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.