#### ASTRO MED INC /NEW/

Form 4 June 05, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Check this box if no longer subject to Section 16.

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

3235-0287

**OMB APPROVAL** 

Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response... 0.5

Estimated average

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Mancyak Erik J.

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Symbol

(Middle)

ASTRO MED INC /NEW/ [ALOT]

(Last) (First) 3. Date of Earliest Transaction

Director 10% Owner

54 CLEARVIEW DRIVE

(Month/Day/Year) 06/03/2014

Other (specify X\_ Officer (give title below) below) Controller

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### WEST KINGSTON, RI 02892

(City)	(State)	(Zip) Tab	ole I - Non-	Derivativ	e Secı	ırities Acq	uired, Disposed o	of, or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	a. Deemed 3. 4. Securities Acquired ecution Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock	06/03/2014		M	625	A	\$ 7.9316	3,043	D	
Common Stock	06/03/2014		M	1,200	A	\$ 11.895	4,243	D	
Common Stock	06/03/2014		M	600	A	\$ 8.95	4,843	D	
Common Stock	06/03/2014		M	3,000	A	\$ 6.29	7,843	D	
Common Stock	06/03/2014		M	3,200	A	\$ 6.22	11,043	D	

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Common Stock	06/03/2014	M	3,200	A	\$ 7.36	14,243	D	
Common Stock	06/03/2014	M	1,500	A	\$ 8.35	15,743	D	
Common Stock	06/03/2014	S	8,825	D	\$ 14	6,918	D	
Common Stock						460	I	Held in Employee Stock Ownership Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Purchase)	\$ 7.9316	06/03/2014		M		625	03/20/2007	03/20/2016	Common Stock	625
Stock Option (Right to Purchase)	\$ 11.895	06/03/2014		M		1,200	03/26/2008	03/26/2017	Common Stock	1,200
Stock Option (Right to Purchase)	\$ 8.95	06/03/2014		M		600	04/01/2009	04/01/2018	Common Stock	600
Stock Option	\$ 6.29	06/03/2014		M		3,000	01/26/2010	01/26/2019	Common Stock	3,000

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(Right to Purchase)								
Stock Option (Right to Purchase)	\$ 6.22	06/03/2014	M	3,200	03/18/2010	03/18/2019	Common Stock	3,200
Stock Option (Right to Purchase)	\$ 7.36	06/03/2014	M	3,200	03/15/2011	03/15/2020	Common Stock	3,200
Stock Option (Right to Purchase)	\$ 8.35	06/03/2014	M	1,500	03/29/2013	03/29/2022	Common Stock	1,500

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Mancyak Erik J.

54 CLEARVIEW DRIVE Controller

WEST KINGSTON, RI 02892

## **Signatures**

Margaret D. Farrell (Attorney-in-fact for Erik J. Mancyak) 06/05/2014

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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