Garnier Eric Form 3 October 05, 2012

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

A Garnier Eric

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

09/25/2012

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

PALL CORP [PLL]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O PALL

CORPORATION, Â 25 HARBOR PARK DRIVE

(Street)

(Check all applicable) 10% Owner Director

\_X\_\_ Officer Other (give title below) (specify below) President, Pall Asia

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

#### **PORT** WASHINGTON, NYÂ 11050

(State)

(City)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

Ownership (Instr. 5)

Â

Direct (D) or Indirect (I)

(Instr. 5)

SEC 1473 (7-02)

Common Stock 14,236.378

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

4. 5. Conversion Ownership or Exercise Form of Price of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Options (Rights to Buy)	07/17/2008	07/17/2014	Common Stock	255	\$ 48.72	D	Â
Employee Stock Options (Rights to Buy)	07/17/2009	07/17/2014	Common Stock	255	\$ 48.72	D	Â
Employee Stock Options (Rights to Buy)	07/17/2010	07/17/2014	Common Stock	255	\$ 48.72	D	Â
Employee Stock Options (Rights to Buy)	07/17/2011	07/17/2014	Common Stock	255	\$ 48.72	D	Â
Employee Stock Options (Rights to Buy)	07/16/2013	07/16/2016	Common Stock	465	\$ 28.11	D	Â
Employee Stock Options (Rights to Buy)	07/14/2013	07/14/2017	Common Stock	755	\$ 36.64	D	Â
Employee Stock Options (Rights to Buy)	07/14/2014	07/14/2017	Common Stock	755	\$ 36.64	D	Â
Employee Stock Options (Rights to Buy)	07/13/2012	07/13/2018	Common Stock	652	\$ 55.67	D	Â
Employee Stock Options (Rights to Buy)	07/13/2013	07/13/2018	Common Stock	653	\$ 55.67	D	Â
Employee Stock Options (Rights to Buy)	07/13/2014	07/13/2018	Common Stock	652	\$ 55.67	D	Â
Employee Stock Options (Rights to Buy)	07/13/2015	07/13/2018	Common Stock	653	\$ 55.67	D	Â
Employee Stock Options (Rights to Buy)	01/18/2013	01/18/2019	Common Stock	612	\$ 59.75	D	Â
Employee Stock Options (Rights to Buy)	01/18/2014	01/18/2019	Common Stock	613	\$ 59.75	D	Â
Employee Stock	01/18/2015	01/18/2019	Common	612	\$ 59.75	D	Â

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Options (Rights to Buy)			Stock				
Employee Stock Options (Rights to Buy)	01/18/2016	01/18/2019	Common Stock	613	\$ 59.75	D	Â
Restricted Stock Units	07/16/2013	07/16/2013	Common Stock	1,114.836	\$ <u>(1)</u>	D	Â
Restricted Stock Units	07/14/2014	07/14/2014	Common Stock	1,787.659	\$ <u>(1)</u>	D	Â
Restricted Stock Units	07/13/2015	07/13/2015	Common Stock	1,537.402	\$ <u>(1)</u>	D	Â
Restricted Stock Units	01/18/2016	01/18/2016	Common Stock	1,435.244	\$ <u>(1)</u>	D	Â
Salary Units	07/31/2014(2)	07/31/2014(2)	Common Stock	1,259	\$ (3)	D	Â
Salary Units	01/31/2015(2)	01/31/2015(2)	Common Stock	912	\$ (3)	D	Â
Salary Units	07/31/2015	07/31/2015	Common Stock	1,067	\$ (3)	D	Â
Bonus Units	10/28/2015	10/28/2015	Common Stock	1,324	\$ (3)	D	Â
Salary Units	01/31/2016	01/31/2016	Common Stock	887	\$ (3)	D	Â
Salary Units	07/31/2016	07/31/2016	Common Stock	954	\$ <u>(3)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Garnier Eric C/O PALL CORPORATION 25 HARBOR PARK DRIVE PORT WASHINGTON, NY 11050	Â	Â	President, Pall Asia	Â		
Cianaturas						

## **Signatures**

/s/ Cherita Thomas as Attorney-in-Fact for Eric Garnier 10/05/2012

\*\*Signature of Reporting Person Date

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### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Units will vest and become convertible into shares of Common Stock of the Issuer on a one-for-one basis on the date shown in column 2, provided the Reporting Person is still employed by the Issuer or a subsidiary on that date. If employment terminates sooner, the **(1)** Units will be forfeited unless termination of employment occurs because of death, disability or retirement, in any of which events the
- Units may vest in whole or in part.
- The Date Exercisable (vesting date) and the Expiration Date are the fourth anniversary of the transaction date, or, if sooner, the date on (2) which the Reporting Person's employment with the Issuer terminates, or, if later, the date to which the Reporting Person elects to defer receipt of common stock beyond the vesting date.
- The Units will vest and become convertible into shares of Common Stock of the Issuer on a one-for-one basis on the date shown in (3) column 2, subject to adjustments if the Reporting Person's employment with the Issuer terminates under certain circumstances prior to the fourth anniversary of the date on which the Units were granted.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.