Edgar Filing: JONES CLAYTON M - Form 4

JONES CLAYTON M Form 4 March 12, 2003

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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OMB APPROVAL

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

					ame and T ELL COLI		L) Po	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
JONES, CL4 (Las M/S 124-323 400 COLLIN	t) (First) (1	of Rep	ortir	entificatior ng Person, (voluntary		Mon	atement for X th/Day/Year 10 D/2003 O	Issuer (Check all applicable) Director		
CEDAR RA	(Street) PIDS, IA 52498	-0001					Date	E Amendment, 7. of Original (C nth/Day/Year) X	xecutive Offi Individual or Check Applica Form filed by erson	<u>cer</u> Joint/Group Filing
(C	ity) (State)	(Zip)	,	Tab	le I Non-	Deriv		Reporting Person oosed of, or Beneficially Owned		
1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/	2A. Deemed Execution Date, if any	3. Trans action Code (Instr. 8	3)	4. Securitio or Dispose (Instr. 3, 4	d of (I & 5)	D)	5. Amount of Securities Beneficially Owned Follow-	6. Owner- ship Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership
	Year)	(Month/Day/ Year)	Code	v	Amount	(A) or (D)	Price	ing Reported Transactions(s) (Instr. 3 & 4)	(I) (Instr. 4)	(Instr. 4)
Common Stock	03/10/03		М		8,801	Α	\$12.713			
Common Stock	03/10/03		S		7,200	D	\$18.2	16,228.355 4 <u>(</u>	D	
Common Stock								10,211.480	1 I	By Savings Plan ⁽²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. * If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2. Conver-	3. Trans-	3A.	4.	5.	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Nature
Derivative	sion or	action	Deemed	Trans-	Number	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indirec

1

(Instr. 3)	Price of Derivative	Date (Month/ Day/ Year)	Execution Date, if any (Month/ Day/ Year)	action Code (Instr. 8)	De Sec Ac (A) Dis of (rivative curities quired or posed	Date (Month/Day/ Year)		Underlyin Securities (Instr. 3 &	0	Security (Instr. 5)	Following Reported	ship Form of Deriv- ative Security: Direct (D) or Indirect (I)	Beneficial Ownershij (Instr. 4)
				Code		(D)	Date Exer-cisable	Expira- tion Date		Amount or Number of Shares			(Instr. 4)	
Employee Stock Option (Right to Buy)	\$12.7139	03/10/03		М		8,801	Current	03/11/03	Common Stock	8,801		0	D	

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Explanation of Responses:

(1) Includes shares acquired under the Company's employee stock purchase plan and based on information furnished by the Plan Administrator as of March 11, 2003.

(2) Shares represented by Company stock fund units under the Rockwell Collins, Inc. 2001 qualified savings plan, including shares acquired on a periodic basis pursuant to the Plan, based on information furnished by the Plan Administrator as of March 11, 2003.

> By: /s/ Gary R. Chadick Gary R. Chadick, Attorney-in-Fact **Signature of Reporting Person

03/12/2003

Date

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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