## Edgar Filing: THERMO FISHER SCIENTIFIC INC. - Form 4

THERMO FISHER SCIENTIFIC INC. Form 4 March 13, 2017 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading WILVER PETER M Issuer Symbol THERMO FISHER SCIENTIFIC (Check all applicable) INC. [TMO] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner \_X\_\_Officer (give title Other (specify (Month/Day/Year) below) below) **168 THIRD AVENUE** 03/10/2017 Exec. VP & Chief Admin Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting WALTHAM, MA 02451 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) anv Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Owned Direct (D) Ownership (Instr. 8) Following or Indirect (Instr. 4) Reported  $(\mathbf{I})$ (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common S 03/10/2017 Μ 5,637 А 77,233 D Stock 129.77 Common 03/10/2017 D Μ 4,350 81,583 А 121.62 Stock Common 03/10/2017 S 9,987 71,596 D D 158.18 Stock Common 13,200 I By Spouse Stock Common 5.950 Ι By The Stock Michelle H. Wilver

## Edgar Filing: THERMO FISHER SCIENTIFIC INC. - Form 4

Common Stock						7,850	Ι	Irrevocal GST Tru of 2016 By The Peter M. Wilver Irrevocal GST Tru	ble	
Reminder: R	eport on a sep	parate line for each cla	uss of securities benef	Person inform require	ns who respo ation contain ed to respon ys a currently	ond to the co ned in this fo d unless the	orm are not form	of 2016 SEC 1474 (9-02)		
			ative Securities Acq puts, calls, warrants				ned			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number 6. Date Exercisable and mof Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Instr. 3 and 4)		8. H Der Sec (Ins	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 129.77	03/10/2017		М	5,637	<u>(1)</u>	02/24/2023	Common Stock	5,637	
Stock Option (Right to Buy)	\$ 121.62	03/10/2017		М	4,350	(2)	09/09/2022	Common Stock	4,350	
Repor	ting O	wners								
Doporting	Oumon Norm	Address		Relatio	onships					

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
WILVER PETER M 168 THIRD AVENUE WALTHAM, MA 02451			Exec. VP & Chief Admin Officer	

Reporting Owners

## Signatures

/s/ Barbara J. Lucas, Attorney-in-Fact for Peter M. Wilver

03/13/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vests in four equal annual installments beginning on February 24, 2017.
- (2) The option vests in four equal annual installments beginning on September 9, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.