## Edgar Filing: SPIRE INC - Form 4

| Check this box<br>if no longer<br>subject to<br>Section 16.<br>Form 4 or<br>Form 5 File | <b>TEMENT OF</b><br>d pursuant to S<br>n 17(a) of the F  | SECURITIES A<br>Washington,<br>F CHANGES IN<br>SECUR<br>Section 16(a) of th<br>Public Utility Hole<br>of the Investment | <b>D.C. 20</b><br><b>BENEF</b><br><b>SITIES</b><br>e Securit<br>ding Con  | 549<br>ICIA<br>ties E | L OW<br>Exchange<br>y Act of  | NERSHIP OF<br>e Act of 1934,<br>f 1935 or Section  | OMB<br>Number:<br>Expires:<br>Estimated a<br>burden hou<br>response  |          |
|---|--|---|---|-----------------------|---|--|--|----------|
| 1. Name and Address of Repo<br>Lindsey Steven L   | orting Person <u>*</u>   | 2. Issuer Name and<br>Symbol<br>SPIRE INC [SR]  |   | Tradii                | ng  | 5. Relationship of<br>Issuer   | Reporting Pers   |          |
| (Last) (First) 700 MARKET STREET  | 3. Date of Earliest Tr<br>(Month/Day/Year)<br>12/01/2016   | -   |   |                       | Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>Exec Vice President  |  |  |          |
| (Street)<br>ST. LOUIS, MO 63101   | 4. If Amendment, Da<br>Filed(Month/Day/Year  | -   | 1   |                       | <ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting Person</li> </ul> |  |  |          |
| (City) (State)  | (Zip)  | Table I - Non-I   | Derivative  | Secur                 | ities Acq   | uired, Disposed of   | , or Beneficial  | ly Owned |
|   | 2. Transaction Date 2A. Deemed<br>(Month/Day/Year) Execution Date, if<br>any<br>(Month/Day/Year) |   | 3. 4. Securities Acquired<br>Transaction(A) or Disposed of (D)<br>Code (Instr. 3, 4 and 5)<br>(Instr. 8)<br>(A)<br>or |                       |   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |          |
| Common 12/01/2016<br>Stock  | 5  | Code V<br>A   | Amount<br>2,310<br>(1)  | (D)<br>A              | Price<br>\$<br>62.76  | (Instr. 3 and 4)<br>25,973   | D  |          |
| Common<br>Stock 12/02/2016  | 5  | А   | 6,246<br>(2)  | A                     | \$<br>63.55   | 32,219   | D  |          |
| Common 12/02/2016<br>Stock  | 5  | F   | 875 <u>(3)</u>  | D                     | \$<br>63.55   | 31,344   | D  |          |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | <ul> <li>5. 6. Date Exercisable at tionNumber Expiration Date of (Month/Day/Year)</li> <li>) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)</li> </ul> |                     | Date               | Amou<br>Under<br>Secur | tle and<br>unt of<br>erlying<br>rities<br>3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owna<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|--|---------------------|--------------------|------------------------|--|---|--|
| Repo  | rting O   | wners                                   |   | Code V                                 | (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title                  | Amount<br>or<br>Number<br>of<br>Shares             |   |  |

| Reporting Owner Name / Address                               | Relationships |           |                     |       |  |  |  |  |
|--|---------------|-----------|---------------------|-------|--|--|--|--|
|  | Director      | 10% Owner | Officer             | Other |  |  |  |  |
| Lindsey Steven L<br>700 MARKET STREET<br>ST. LOUIS, MO 63101 |               |           | Exec Vice President |       |  |  |  |  |
| Signatures   |               |           |                     |       |  |  |  |  |
| /s/ Ellen L. Theroff, attorney-in<br>Lindsey                 | 12/05/2016    |           |                     |       |  |  |  |  |
| <u>**</u> Signature of Reporting                             | ng Person     |           | Date                |       |  |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents award of time-vested restricted stock that vests on 12/1/2019.
- (2) Represents restricted stock performance units that vested and settled in stock based on performance metrics not tied to the market price of the Company's stock.
- (3) Represents the number of shares withheld for the payment of taxes incident to the vest of 2,625 shares of time-vested restricted stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.