#### Edgar Filing: THERMO FISHER SCIENTIFIC INC. - Form 4

THERMO FISHER SCIENTIFIC INC. Form 4 March 23, 2016 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Williamson Stephen Issuer Symbol THERMO FISHER SCIENTIFIC (Check all applicable) INC. [TMO] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) 81 WYMAN STREET 03/21/2016 Sr. VP and CFO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting WALTHAM, MA 02451 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) anv Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial Ownership (Month/Day/Year) (Instr. 8) Owned (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common \$ 03/21/2016 Μ 2.527 А 28,016 D Stock 49.49 Common 03/21/2016 4,408 32,424 D Μ A 54.97 Stock Common 03/21/2016 3,773 D Μ Α 36,197 49 49 Stock Common S<sup>(1)</sup> 03/21/2016 10,708 D 25,489 D Stock 139.5 Common 03/22/2016 Μ 1,123 А D 26,612 49.49 Stock

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Common Stock	03/22/2016	М	1,792	А	\$ 54.97	28,404	D
Common Stock	03/22/2016	М	1,677	А	\$ 49.49	30,081	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	onof D Secu Acqu (A) o Disp (D)	urities uired or osed of r. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 49.49	03/21/2016		М		2,527	03/05/2011 <u>(2)</u>	03/05/2017	Common Stock	2,527
Stock Option (Right to Buy)	\$ 54.97	03/21/2016		М		4,408	(3)	02/23/2018	Common Stock	4,408
Stock Option (Right to Buy)	\$ 49.49	03/21/2016		М		3,773	03/05/2012 <u>(4)</u>	03/05/2017	Common Stock	3,773
Stock Option (Right to Buy)	\$ 49.49	03/22/2016		М		1,123	03/05/2011(2)	03/05/2017	Common Stock	1,123
Stock Option (Right to Buy)	\$ 54.97	03/22/2016		М		1,792	<u>(3)</u>	02/23/2018	Common Stock	1,792
	\$ 49.49	03/22/2016		М		1,677	03/05/2012(4)	03/05/2017		1,677

Stock Option (Right to Buy)

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Williamson Stephen 81 WYMAN STREET WALTHAM, MA 02451			Sr. VP and	I CFO				
Signatures								
/s/ Barbara J. Lucas, Attorney- Williamson	03/23/2016							
<u>**</u> Signature of Repo	rting Person			Date				

### Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 18, 2016.
- (2) The option vested in four equal installments on March 5, 2011, 2012, 2013 and 2014.
- (3) The option vested in four equal installments on February 23, 2012, 2013, 2014 and 2015.
- (4) The option vested in four equal installments on March 5, 2012, 2013, 2014 and 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.