#### WELLS FARGO & COMPANY/MN

Form 4 June 11, 2015

# FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Check this box if no longer subject to Section 16. Form 4 or

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 Filed pobligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Shrewsberry John R. Issuer Symbol WELLS FARGO & (Check all applicable) COMPANY/MN [WFC] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify (Month/Day/Year) below) **45 FREMONT STREET** 03/13/2015 Senior Executive VP & CFO (Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
\_X\_ Form filed by One Reporting Person
\_\_\_ Form filed by More than One Reporting
Person

| SAN FRANCISCO, CA 9410 | J5 |
|------------------------|----|
|------------------------|----|

| (City)                                   | (State)                                 | Zip) Table  | e I - No | n-D | erivative S   | ecurit | ies Acq  | uired, Disposed o  | of, or Beneficial                                     | ly Owned                   |
|--|---|---|----------|-----|---|--------|--|--|---|----------------------------|
| 1.Title of<br>Security<br>(Instr. 3)     | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code     |     | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |                            |
| Common<br>Stock, \$1<br>2/3 Par<br>Value | 03/23/2015                              |   | Code     | V   | Amount 89,386   | (D)    | Price \$ 0   | (Instr. 3 and 4)   | D   |                            |
| Common<br>Stock, \$1<br>2/3 Par<br>Value | 03/23/2015                              |   | G        | V   | 89,386  | A      | \$0  | 197,967  | I   | Through<br>Family<br>Trust |
| Common<br>Stock, \$1<br>2/3 Par<br>Value | 06/09/2015                              |   | G        | V   | 794   | D      | \$0  | 197,173  | I   | Through<br>Family<br>Trust |

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Common Stock, \$1 2/3 Par Value

Through 6,681.4673 401(k) (1) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)

Conversion or Exercise

Price of

Security

Derivative

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any (Month/Day/Year)

Transaction of Derivative Expiration Date Code Securities (Instr. 8) Acquired (A) or

Disposed of (D) (Instr. 3, 4, and 5)

5. Number

6. Date Exercisable and (Month/Day/Year)

Underlying Securities (Instr. 3 and 4)

7. Title and Amount of 8. Price Deriva Securi (Instr.

Amount or Date Expiration Title Number Exercisable Date of Code V (A) (D) Shares

Common

Phantom Stock

Units

<u>(2)</u> 03/13/2015 1,669

(3)

(3)

Stock, \$1 2/3 Par

1,669

\$ 55

Value

## **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director

10% Owner

Officer

Other

Shrewsberry John R. **45 FREMONT STREET** SAN FRANCISCO, CA 94105

Senior Executive VP & CFO

**Signatures** 

John R. Shrewsberry, by Anthony R. Augliera, as Attorney-in-Fact

06/11/2015

\*\*Signature of Reporting Person

Date

Reporting Owners 2

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects share equivalent of units in the Wells Fargo ESOP Fund under the 401(k) Plan (the "Plan") as of May 31, 2015, as if investable cash equivalents held by Plan were fully invested in Wells Fargo & Company common stock.
- (2) Conversion price is 1-for-1. Includes shares held through supplemental 401(k) plan and deferred compensation plan.
- (3) Deferred compensation shares payable in installments based upon executive's election. Supplemental 401(k) plan shares payable upon retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.