3M CO Form 3 June 18, 2014

## FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement 3M CO [MMM] Hammes Eric D. (Month/Day/Year) 06/09/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 3M CENTER (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) ST. PAUL, MNÂ 55144-1000 Form filed by More than One VP, Corp Controller & CAO Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 268 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of Derivative Security (Instr. 4)  2. Date Exercise Expiration (Month/Day/Year			3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
				(Instr. 4)		Price of		
			Expiration Date	Title	Amount or Number of	Derivative	Security:	
						Security	Direct (D)	
							or Indirect	

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				Shares		(I) (Instr. 5)	
Restricted Stock Units	(1)	(1)	Common Stock	253	\$ <u>(2)</u>	D	Â
Restricted Stock Units	(3)	(3)	Common Stock	629	\$ <u>(2)</u>	D	Â
Non-qualified Stock Option (Right to Buy)	05/10/2006	05/08/2015	Common Stock	335	\$ 76.8	D	Â
Non-qualified Stock Option (Right to Buy)	05/09/2007	05/09/2016	Common Stock	606	\$ 87.35	D	Â
Non-qualified Stock Option (Right to Buy)	05/08/2008	05/08/2017	Common Stock	436	\$ 84.78	D	Â
Non-qualified Stock Option (Right to Buy)	05/13/2009	05/11/2018	Common Stock	712	\$ 77.18	D	Â
Non-qualified Stock Option (Right to Buy)	02/09/2010	02/08/2019	Common Stock	1,272	\$ 54.11	D	Â
Non-qualified Stock Option (Right to Buy)	02/09/2011	02/07/2020	Common Stock	1,341	\$ 78.72	D	Â
Non-qualified Stock Option (Right to Buy)	02/08/2012	02/08/2021	Common Stock	1,026	\$ 89.47	D	Â
Non-qualified Stock Option (Right to Buy) (4)	02/07/2013	02/07/2014	Common Stock	1,139	\$ 87.89	D	Â
Non-qualified Stock Option (Right to Buy) (5)	02/05/2014	02/03/2023	Common Stock	3,145	\$ 101.49	D	Â
Non-qualified Stock Option (Right to Buy) (6)	02/04/2015	02/02/2024	Common Stock	3,872	\$ 126.72	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
r	Director	10% Owner	Officer	Other		
Hammes Eric D. 3M CENTER ST. PAUL, MN 55144-1000	Â	Â	VP, Corp Controller & CAO	Â		

## **Signatures**

/s/ George Ann Biros, attorney-in-fact for Eric D.

Hammes

\*\*Signature of Reporting Person

Date

Reporting Owners 2

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units will vest 100% on 2-7-2015
- (2) Each restricted stock unit represents a contingent right to receive one share of 3M common stock.
- (3) The restricted stock units will vest 100% on 2-5-2016.
- (4) This option becomes exercisable in equal installments on each of the first three anniversaries of the grant date (2/7/2012).
- (5) This option becomes exercisable in equal installments on each of the first three anniversaries of the grant date (2/5/2013).
- (6) This option becomes exercisable in equal installments on each of the first three anniversaries of the grant date (2/4/2014).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.