

FIRSTENERGY CORP
Form 4
January 06, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ALEXANDER ANTHONY J

(Last) (First) (Middle)
76 SOUTH MAIN STREET
(Street)

AKRON, OH 44308

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
FIRSTENERGY CORP [FE]

3. Date of Earliest Transaction
(Month/Day/Year)
01/02/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Pres. & Chief Exec. Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V Amount (A) or (D) Price | | | |
| Common Stock | 12/23/2013 | | G | V 25,000 D \$ 0 | 396,293.7162 (1) | D | |
| Common Stock | 12/31/2013 | | G | V 11,247.856 D \$ 0 | 0 | I | GRAT (2) |
| Common Stock | 12/31/2013 | | G | V 5,623.928 A \$ 0 | 110,873.928 | I | By Children (3) |
| Common Stock | 01/02/2014 | | S(4) | 26,740 D \$ 32.3604 (5) | 416,372.4332 (6) | D | |
| | | | | | 316.274 (7) (8) | I | |

Common
Stock

By
Child's
Savings
Plan

Common
Stock

35,038.6431
(9) I

By
Savings
Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

ALEXANDER ANTHONY J
76 SOUTH MAIN STREET
AKRON, OH 44308

X

Pres. & Chief Exec. Officer

Signatures

Edward J.
Udovich, POA

01/06/2014

**Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes restricted stock acquired through dividend reinvestments.

On December 29, 2010, the reporting person contributed 100,000 shares of FirstEnergy Corp. common stock to the Anthony J. Alexander Grantor Retained Annuity Trust (the GRAT) for the benefit of himself and his four children (upon the termination of the GRAT). Upon termination of the GRAT, on December 31, 2013 11,247.856 of the shares were transferred to the reporting person's children. The remaining 46,818.717 shares were previously distributed to the reporting person and continue to be reported in this Form 4 as directly owned.

(3) This transaction involved gifts of securities from the GRAT upon its termination to the reporting person's children who share the reporting person's household. The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of the securities for purposes of Section 16 or for any other purpose.

(4) This transaction reflects the number of Common Shares sold pursuant to the terms of the Restricted Stock Award Agreement and in accordance with the FirstEnergy Corp. 2007 Incentive Plan, as amended, to satisfy the reporting person's tax withholding obligation upon the vesting of 55,034.974 restricted Common Shares.

(5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$32.35 to \$32.38, inclusive. The reporting person undertakes to provide to FirstEnergy Corp., any security holder of FirstEnergy Corp., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote.

(6) Includes 46,818.717 shares received as an annuity payment on 12/31/13 from the GRAT dated December 29, 2010. This transaction represented a change in the form of beneficial ownership without changing the reporting persons pecuniary interest in such shares.

(7) Includes shares that are attributable to additional common stock acquired through dividend reinvestments, price adjustments and FE Company matching funds in a unitized plan.

(8) The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of the securities for purposes of Section 16 or for any other purpose.

(9) Includes shares that are attributable to additional common stock acquired through dividend reinvestments and price adjustments in a unitized plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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