Edgar Filing: Strayer Jacqueline F - Form 4

Strayer Jacqueline F Form 4 April 24, 2013 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).							OMB APPROVAL OMB 3235-0287 Number: January 31, Expires: 2005 Estimated average burden hours per response 0.5				
(Print or Type	Responses)										
Strayer Jacqueline F Symbol				er Name and Ticker or Trading SON CONTROLS INC [JCI]				5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle) 3. Date (Month			3. Date of (Month/2)	ate of Earliest Transaction nth/Day/Year) 24/2013				(Check all applicable) <u></u> Director <u></u> 10% Owner <u></u> X Officer (give title <u></u> 0ther (specify below) Vice President, Communications			
				endment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative	Secu		ired, Disposed of,	or Beneficial	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ansaction Date 2A. Deemed			4. Securit ord Dispos (Instr. 3, 4	ed of (5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6.7. Nature ofOwnershipIndirectForm:BeneficialDirect (D)Ownershipor Indirect(Instr. 4)(I)(Instr. 4)		
Common Stock	04/24/2013			Code V M	Amount 25,000	(D) A	Price \$ 28.79	40,003.98	D		
Common Stock	04/24/2013			М	25,000	А	\$ 24.87	65,003.98	D		
Common Stock	04/24/2013			М	9,500	А	\$ 30.54	74,503.98	D		
Common Stock	04/24/2013			S	59,500	D	\$ 33.8796	15,003.98	D		
Common Stock								4,092.928 <u>(2)</u>	Ι	By 401(k) Plan	

			Code V (A)	(D)		Date		Numb of Sha
Employee Stock Option (Right to Buy)	\$ 28.79	04/24/2013	М	25,000	10/01/2010	10/01/2018	Common Stock	25,0
Employee Stock Option (Right to Buy)	\$ 24.87	04/24/2013	М	25,000	10/01/2011	10/01/2019	Common Stock	25,0
Employee Stock Option (Right to Buy)	\$ 30.54	04/24/2013	М	9,500	10/01/2012 <u>(3)</u>	10/01/2020	Common Stock	9,5(

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

3. Transaction Date 3A. Deemed

any

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6. Date Exercisable and

Expiration

Expiration Date

(Month/Day/Year)

Date Exercisable

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

4.

Code

(Month/Day/Year) (Instr. 8) Acquired (A)

(Month/Day/Year) Execution Date, if TransactionDerivative

5. Number of

or Disposed of

Securities

(D) (Instr. 3, 4, and 5)

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Reporting Owners

1. Title of

Derivative

Security

(Instr. 3)

2.

Conversion

or Exercise

Derivative

Price of

Security

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
Strayer Jacqueline F 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201			Vice President, Communications				

Trust

7. Title and Amount

Underlying Securiti

Amou

Nimak

or

(Instr. 3 and 4)

Title

Signatures

/s/ Angela M. Blair, Attorney-in-fact for Jacqueline F. Strayer

04/24/2013

**Signature of Reporting Person

Date

Explanation of Responses:

the range set forth in footnote (1) of this Form 4.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in column 4 is a weighted average price. The shares were sold in multiple transactions in prices ranging from \$33.82 to
 \$33.96, inclusive. The reporting person undertakes to provide to Johnson Controls, any security holder of Johnson Controls, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within

The number of underlying securities is based on the stock fund balance on April 23, 2013. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market

- (2) distribution date is not determinable since the stock fund is a difficted account consisting of 20% company stock and 4% money market fund. The stock account balance reflected in this report is based on an April 23, 2013, stock fund price of \$33.49 per share, and includes a company match of 667.609 phantom stock units acquired on February 14, 2013 at \$31.83.
- (3) Fifty percent of the options vest after two years and the remaining 50% vests after three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.