

PNM RESOURCES INC

Form 3

April 02, 2015

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Â TARRY JOSEPH DON

(Last) (First) (Middle)

414 SILVER AVE SW MS 1015

(Street)

ALBUQUERQUE,Â NMÂ 87102-3289

(City) (State) (Zip)

2. Date of Event
Requiring Statement
(Month/Day/Year)
04/01/20153. Issuer Name **and** Ticker or Trading Symbol
PNM RESOURCES INC [PNM]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10%
Owner
☒ Officer ☐ Other
(give title below)(specify below)
VP and Corporate Controller6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

10,872

D Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of
Derivative
Security5. Ownership
Form of
Derivative
Security:
Direct (D)
or Indirect6. Nature of Indirect
Beneficial Ownership
(Instr. 5)Date
ExercisableExpiration
Date

Title

Amount or
Number of
Shares

(I)
(Instr. 5)

Stock Options	Â <u>(1)</u>	Â <u>(2)</u>	Common Stock	4,200	\$ 30.5 <u>(3)</u>	D	Â
Restricted Stock Rights	Â <u>(4)</u>	Â <u>(4)</u>	Restricted Stock Rights	2,506	\$ 0 <u>(4)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TARRY JOSEPH DON 414 SILVER AVE SW MS 1015 ALBUQUERQUE, NM 87102-3289	Â	Â	Â VP and Corporate Controller	Â

Signatures

Jim Acosta, POA for Joseph Don
Tarry 04/02/2015

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The stock options were awarded on 2/16/2007 and are fully vested.

(2) The stock options expire 10 years from the grant date.

(3) Based on the closing price on the date of the grant.

The restricted stock units were awarded on multiple dates and vest in three equal annual installments beginning one year from the grant
(4) dates. Vested shares will be delivered to the reporting person on the applicable vesting dates (or, if the company is in a blackout period under its insider trading policy on any vesting date, at a later date after such blackout period ends).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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