

AWARE INC /MA/
Form SC 13G/A
February 14, 2017

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

SCHEDULE 13G/A

**Under the Securities Exchange Act of 1934*
(Amendment No. 5)***

Aware, Inc.

(Name of Issuer)

Common Stock

(Title of Class of Securities)

05453N-10-0

(CUSIP Number)

December 31, 2016

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- Rule 13d-1(b)
- Rule 13d-1(c)
- Rule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

Edgar Filing: AWARE INC /MA/ - Form SC 13G/A

CUSIP No. 05453N-10-0

1	Name of Reporting Persons I.R.S. Identification No. of Above Persons (Entities Only) Susan Yang Stafford	
2	Check the Appropriate Box if a Member of a Group (See Instructions) (a) <input type="radio"/> (b) <input type="radio"/>	
3	SEC Use Only	
4	Citizenship or Place of Organization U.S.A.	
	5	Sole Voting Power: 2,746,881*
Number of Shares Beneficially Owned by Each Reporting Person With	6	Shared Voting Power: 0
	7	Sole Dispositive Power: 2,746,881*
	8	Shared Dispositive Power: 1,700*
9	Aggregate Amount Beneficially Owned by Each Reporting Person: 2,748,581	
10	Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions) <input type="radio"/>	
11	Percent of Class Represented by Amount in Row (9) 12.3%	
12	Type of Reporting Person (See Instructions) IN	

* Ms. Stafford holds dispositive but not voting power with respect to 1,700 shares held in a family trust of which she is not a trustee and that is not a part of this reporting group.

Edgar Filing: AWARE INC /MA/ - Form SC 13G/A

CUSIP No. 05453N-10-0

1 Name of Reporting Persons
I.R.S. Identification No. of Above Persons (Entities Only)

Susan Yang Stafford 2010 Kimborama Trust
45-6628341

2 Check the Appropriate Box if a Member of a Group (See Instructions)
(a)
(b)

3 SEC Use Only

4 Citizenship or Place of Organization
U.S.A.

Number of Shares Beneficially Owned by Each Reporting Person With	5	Sole Voting Power: 2,131,449
	6	Shared Voting Power: 0
	7	Sole Dispositive Power: 2,131,449
	8	Shared Dispositive Power: 0

9 Aggregate Amount Beneficially Owned by Each Reporting Person:
2,131,449

10 Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)

11 Percent of Class Represented by Amount in Row (9)
9.5%

12 Type of Reporting Person (See Instructions)
OO

CUSIP No. 05453N-10-0

1 Name of Reporting Persons
 I.R.S. Identification No. of Above Persons (Entities Only)
 Kimberly Susan Stafford 2004 Irrevocable Trust
 20-2680537

2 Check the Appropriate Box if a Member of a Group (See Instructions)
 (a)
 (b)

3 SEC Use Only

4 Citizenship or Place of Organization
 U.S.A.

Number of Shares Beneficially Owned by Each Reporting Person With	5	Sole Voting Power: 315,693
	6	Shared Voting Power: 0
	7	Sole Dispositive Power: 315,693
	8	Shared Dispositive Power: 0

9 Aggregate Amount Beneficially Owned by Each Reporting Person:
 315,693

10 Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)

11 Percent of Class Represented by Amount in Row (9)
 1.4%

12 Type of Reporting Person (See Instructions)
 OO

Edgar Filing: AWARE INC /MA/ - Form SC 13G/A

CUSIP No. 05453N-10-0

1 Name of Reporting Persons
I.R.S. Identification No. of Above Persons (Entities Only)

Susan Yang Stafford Revocable Trust of 2011

2 Check the Appropriate Box if a Member of a Group (See Instructions)

(a)
(b)

3 SEC Use Only

4 Citizenship or Place of Organization
U.S.A.

Number of Shares Beneficially Owned by Each Reporting Person With	5	Sole Voting Power: 299,739
	6	Shared Voting Power: 0
	7	Sole Dispositive Power: 299,739
	8	Shared Dispositive Power: 0

9 Aggregate Amount Beneficially Owned by Each Reporting Person:
299,739

10 Check Box if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)

11 Percent of Class Represented by Amount in Row (9)
1.3%

12 Type of Reporting Person (See Instructions)
OO

Item 1(a).

Name of Issuer:

Item 1(b).

Aware, Inc.
Address of Issuer's Principal Executive Offices:

40 Middlesex Turnpike

Bedford, MA 01730

Item 2(a).

Name of Person Filing:

Susan Yang Stafford

Susan Yang Stafford 2010 Kimborama Trust

Susan Yang Stafford Revocable Trust of 2011

Item 2(b).

Kimberly Susan Stafford 2004 Irrevocable Trust
Address of Principal Business Office or, if none, Residence:

c/o Ronin Capital, LLC

350 N. Orleans Street, Suite 2N

Item 2 (c).

Chicago, IL 60654
Citizenship:

Susan Yang Stafford is a citizen of the United States of America.

Item 2(d).

The Susan Yang Stafford 2010 Kimborama Trust, the Susan Yang Stafford Revocable Trust of 2011 and the Kimberly Susan Stafford 2004 Irrevocable Trust are trusts organized under the laws of the state of Illinois.

Title of Class of Securities:

Item 2(e).

Common Stock, \$.01 par value per share
CUSIP Number:

05453N-10-0

Item 3.

If this statement is filed pursuant to Rules 13d-1(b), or 13d-2(b), check whether the person filing is a:

- | | | |
|-----|-----------------------|---|
| (a) | <input type="radio"/> | Broker or dealer registered under section 15 of the Exchange Act; |
| (b) | <input type="radio"/> | Bank as defined in section 3(a)(6) of the Exchange Act; |
| (c) | <input type="radio"/> | Insurance company as defined in section 3(a)(19) of the Exchange Act; |
| (d) | <input type="radio"/> | Investment company registered under section 8 of the Investment Company Act; |
| (e) | <input type="radio"/> | An investment adviser in accordance with Rule 13d-1(b)(1)(ii)(E); |
| (f) | <input type="radio"/> | An employee benefit plan or endowment fund in accordance with Rule 13d-1(b)(1)(ii)(F); |
| (g) | <input type="radio"/> | A parent holding company or control person in accordance with Rule 13d-1(b)(1)(ii)(G); |
| (h) | <input type="radio"/> | A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act; |
| (i) | <input type="radio"/> | A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act; |
| (j) | <input type="radio"/> | Group, in accordance with Rule 13d-1(b)(1)(ii)(J); |

If this statement is filed pursuant to Rule 13d-1(c), check this box.

Item 4. Ownership

The information contained in Items 5 -11 of the cover pages is herein incorporated by reference. Susan Yang Stafford, in her capacity as trustee of the Susan Yang Stafford 2010 Kimborama Trust and the Kimberly Susan Stafford 2004 Irrevocable Trust, has the power to vote and dispose of the common stock held by such trusts.

(a) Amount beneficially owned:

2,748,581 shares

(b) Percent of class:

12.3%

The percentage of shares of Common Stock beneficially owned by the Reporting Person is based on a total of 22,370,713 shares of Common Stock of the Issuer outstanding as of December 31, 2016, as reported on the most recent annual report of the Issuer on Form 10-K for the year ended December 31, 2016 as filed with the SEC on February 10, 2017.

(c) Number of shares as to which such person has:

(i) Sole power to vote or to direct the vote:

2,746,881

(ii) Shared power to vote or to direct the vote:

0

(iii) Sole power to dispose or to direct the disposition of:

2,746,881

(iv) Shared power to dispose or to direct the disposition of:

1,700

Item 5. Ownership of Five Percent or Less of a Class:

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following

Not applicable

Item 6. Ownership of More than Five Percent on Behalf of Another Person:

Not applicable

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company:

Not applicable

Item 8. Identification and Classification of Members of the Group:

Not applicable

Item 9. Notice of Dissolution of Group:

Not applicable

Item 10. Certification:

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated:

February 14, 2017

/s/ ***

Susan Yang Stafford

Dated:

February 14, 2017

Susan Yang Stafford 2010 Kimborama Trust

/s/ ***

Susan Yang Stafford, Trustee

Dated:

February 14, 2017

The Kimberly Susan Stafford 2004 Irrevocable Trust

/s/ ***

Susan Yang Stafford, Trustee

Dated:

February 14, 2017

Susan Yang Stafford Revocable Trust of 2011

/s/ ***

Susan Yang Stafford, Trustee

*** By:

/s/ John S. Stafford, Jr.

John S. Stafford, Jr.

Attorney-in-Fact