Aeterna Zentaris Inc. Form 6-K October 28, 2016

ISSION

	UNITED STATES AND EXCHANGE COMM Washington, D.C. 20549
	FORM 6-K
PURSUANT	T OF FOREIGN PRIVATE ISSUER T TO RULE 13A-16 OR 15D-16 UNDER URITIES EXCHANGE ACT OF 1934
	For the month of October 2016
	Commission file number 0-30752
AETI	ERNA ZENTARIS INC.

c/o Norton Rose Fulbright Canada LLP

1 Place Ville Marie

Edgar Filing: Aeterna Zentaris Inc. - Form 6-K

Suite 2500

Montreal, QC

H3B 1R1

IISD IKI
(Address of principal executive offices)
Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F.
Form 20-F x Form 40-F o
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): O
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): 0
Indicate by check mark whether the registrant by furnishing the information contained in this Form is also thereby furnishing the information to
the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.
Yes o No x
If Yes is marked, indicate below the file number assigned to the registrant in connection with Rule 12g3-2(b): 82-

Edgar Filing: Aeterna Zentaris Inc. - Form 6-K

DOCUMENTS INDEX

Documents	Description
99.1	Press release dated October 27, 2016
99.2	Form of Common Share Purchase Warrant to be issued by the registrant in connection with an offering
99.3	Form of Pre-Funded Common Share Warrant to be issued by the registrant in connection with an offering
99.4	Placement Agency Agreement dated October 27, 2016 by and between the registrant and Maxim Group LLC
99.5	Form of Securities Purchase Agreement dated October 27, 2016 by and between the registrant and the investor(s) in the offering
	2

Edgar Filing: Aeterna Zentaris Inc. - Form 6-K

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

AETERNA ZENTARIS INC.

Date: October 27, 2016 By: /s/ Philip A. Theodore

Philip A. Theodore

Senior Vice President, Chief Administrative Officer,

General Counsel and Corporate Secretary

3