### Edgar Filing: JACKSON ELIZABETH - Form 3

#### JACKSON ELIZABETH

Form 3 April 02, 2013

### FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Summer Infant, Inc. [SUMR]  **JACKSON ELIZABETH** (Month/Day/Year) 04/01/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O SUMMER INFANT. (Check all applicable) INC., 1275 PARK EAST DRIVE 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) CHIEF MARKETING OFFICER \_X\_ Form filed by One Reporting Person WOONSOCKET. RIÂ 02895 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned (Instr. 4) Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â COMMON STOCK  $20,000^{(1)}$ D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02)

owned directly or indirectly.

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Derivative	Security:	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
STOCK OPTION (RIGHT TO BUY)	06/13/2013	06/13/2022	COMMON STOCK	7,000	\$ 2.86	D	Â
STOCK OPTION (RIGHT TO BUY)	(2)	03/07/2023	COMMON STOCK	40,000	\$ 2.26	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
JACKSON ELIZABETH C/O SUMMER INFANT, INC. 1275 PARK EAST DRIVE WOONSOCKET. RI 02895	Â	Â	CHIEF MARKETING OFFICER	Â	

# **Signatures**

/s/ Elizabeth Fraser, as attorney in fact 04/01/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents award of restricted stock, of which one-fourth of the shares vested on the date of grant and the remaining shares will vest in equal annual installments on each of the first, second and third anniversaries of the grant date.
- (2) 25% of the total number of shares subject to the option vested on the date of grant, and the remaining shares subject to the option shall vest and become exercisable in equal annual installments on each of the first, second and third anniversaries of the grant date.

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#### **Remarks:**

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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