Woods Thomas D Form 4 April 26, 2019

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

may continue.

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person * Woods Thomas D			2. Issuer Name <b>and</b> Ticker or Trading Symbol BANK OF AMERICA CORP /DE/ [BAC]					5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First) (I	Middle)	3. Date of Earliest Transaction (Month/Day/Year)				_X_ Director Officer (give below)		Owner or (specify		
100 NORTH TRYON STREET			04/24/2019					below)	below)		
(Street) 4. If			4. If Ame	. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
Filed CHARLOTTE, NC 28255			Filed(Mor	Filed(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
		(T)						Person			
(City)	(State)	(Zip)	Tabl	e I - Non-l	Derivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	med on Date, if Day/Year)	3. Transacti Code (Instr. 8)	4. Securion(A) or D (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common				Code V	Amount	(D)	Price	(msu. 3 und 1)			
Stock	04/24/2019			A <u>(1)</u>	8,327	A	\$ 0	24,865 (2)	D		
Common Stock	04/24/2019			F(3)	3,831	D	\$ 30.02	21,034	D		
Common								50 003 (2)	Ţ	2555271 Ontario	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form SEC 1474 (9-02)

50,003 (2)

Ontario

Inc.

#### Edgar Filing: Woods Thomas D - Form 4

# displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration		or Number		
						Exercisable	Date		of		
				C-J- V	(A) (D)						
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Woods Thomas D 100 NORTH TRYON STREET CHARLOTTE, NC 28255	X						

# **Signatures**

Thomas D. Woods / Amanda D. Daniel POA 04/26/2019

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares represent payment of annual compensation for services as a director under the Bank of America Corporation Key Employee Equity Plan in transactions exempt under Rule 16b-3.
- (2) 50,003 shares previously reported as being owned directly by the reporting person were transferred to 2555271 Ontario Inc., an investment holding company of which the reporting person is the sole shareholder, and are now indirectly held by the reporting person.
- (3) Disposition of shares to the issuer to satisfy a tax withholding obligation.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. , expectations, strategies, predictions or any other statements related to our future activities or future events or conditions. These statements are based on current expectations, estimates and projections about our business based, in part, on assumptions made by our management. These statements are not guarantees of future performances and involve risks, uncertainties and assumptions that are difficult to predict. Therefore, actual outcomes and results may differ materially from what is expressed or forecasted in the forward-looking statements due to

Reporting Owners 2

#### Edgar Filing: Woods Thomas D - Form 4

numerous factors, including those risks discussed in our Annual Report on Form 10-K and in other documents that we file from time to time with the SEC. Any forward-looking statements speak only as of the date on which they are made, and we do not undertake any obligation to update any forward-looking statement to reflect events or circumstances after the date of this report, except as required by law.

The foregoing description of the Agreement does not purport to be complete and is qualified in its entirety by reference to the full text of the Agreement, filed as Exhibit 10.1 to this report. The foregoing description of the Amendment does not purport to be complete and is qualified in its entirety by reference to the full text of the Amendment, filed as Exhibit 10.2 to this report. The foregoing description of the Endorsements is qualified in its entirety by reference to the full text of the Endorsements filed as Exhibits 10.3, 10.4, and 10.5 to this report.

#### Edgar Filing: Woods Thomas D - Form 4

#### Item 9.01 Financial Statements and Exhibits.

10.1	Asset Purchase Agreement between the Company and Intuitive Surgical Operations, Inc., dated July 27, 2011
10.2	Amendment to Pledge and Security Agreement by and among the Company and each investor named therein, dated July 27, 2011
10.3	Endorsement to 10% Senior Secured Convertible Note by the Company, dated July 27, 2011, and accepted by Arnold Schumsky
10.4	Endorsement to 10% Senior Secured Convertible Note by the Company, dated July 27, 2011, and accepted by Special Situations Private Equity Fund, L.P.
10.5	Endorsement to 10% Senior Secured Convertible Note by the Company, dated July 27, 2011, and accepted by Special Situations Fund III QP, L.P.

#### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Precision Optics Corporation, Inc.

(Registrant)

Date August 3, 2011

/s/ Joseph N. Forkey (Signature)

Name: Joseph N. Forkey Title: Chief Executive Officer

3