Brega Joao Carlos Form 4 February 21, 2019

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

0.5

Check this box if no longer

January 31, Expires: 2005

**OMB APPROVAL** 

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response...

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Brega Joao Carlos

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

(Last)

WHIRLPOOL

(City)

(Middle)

(Zip)

WHIRLPOOL CORP /DE/ [WHR]

(Check all applicable)

(First)

3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner \_X\_\_ Officer (give title . \_ Other (specify

EXECUTIVE VICE PRESIDENT

02/19/2019

below)

CORPORATION, 2000 N. M-63 (Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

BENTON HARBOR, MI 49022

(State)

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1.Title of Security	2. Transaction Date (Month/Day/Year)	ransaction Date 2A. Deemed onth/Day/Year) Execution Date, if			ties l (A) o	r	5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect
(Instr. 3)	(Month Buy Tear)	any	Code			)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)			Owned	Indirect (I)	Ownership
							Following Reported	(Instr. 4)	(Instr. 4)
				(A) or		Transaction(s)			
			Code V	Amount		Price	(Instr. 3 and 4)		
Common Stock	02/19/2019		M <u>(1)</u>	418	A	\$0	24,077	D	
Common Stock	02/20/2019		M(2)	389	A	\$0	24,466	D	
Common							1,963.56 (3)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: Brega Joao Carlos - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	•	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed 4. Execution Date, if Transaction Code (Month/Day/Year) (Instr. 8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Derivat Securit (Instr. 5
					Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Res Stoo Uni		(1)	02/19/2019		M		418	<u>(1)</u>	<u>(1)</u>	Common Stock	418	\$ 0
Res Stoo Uni		<u>(2)</u>	02/20/2019		M		389	(2)	(2)	Common Stock	389	\$ 0

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer

Brega Joao Carlos

WHIRLPOOL CORPORATION 2000 N. M-63

BENTON HARBOR, MI 49022

**EXECUTIVE VICE PRESIDENT** 

Other

### **Signatures**

/s/ Bridget K. Quinn,

Attorney-in-Fact 02/21/2019

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Vesting of restricted stock unit award made under the Whirlpool Corporation Omnibus Stock and Incentive Plan in a transaction exempt (1) under Rule 16b-3. Remaining restricted stock units will vest and convert one-for-one to shares as follows: one-half on 2/19/2020; and one-half on 2/19/2021.
- (2) Vesting of restricted stock unit award made under the Whirlpool Corporation Omnibus Stock and Incentive Plan in a transaction exempt under Rule 16b-3. Remaining restricted stock units will vest and convert one-for-one to shares on 2/20/2020.
- (3) As of 12/31/2018, the latest date for which information is reasonably available, there are 1,963.56 shares held in the account of the undersigned pursuant to the broker-administered dividend reinvestment plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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