

Edgar Filing: FEDERATED INVESTORS INC /PA/ - Form 4

FEDERATED INVESTORS INC /PA/  
Form 4  
June 08, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility

Holding Company Act of 1935 or Section 30(f) of the Investment Company Act  
of 1940 FORM 4

/ / Check this box if no longer subject to Section 16, Form 4 or Form 5  
obligations may continue. See Instruction 1(b)

(Print or Type Responses)

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1. Name and Address of Reporting Person\*

Frantzen Henry A.  
(Last) (First) (Middle)

c/o Federated Investors, Inc.  
Federated Investors Tower  
(Street)

Pittsburgh PA 15222-3779  
(City) (State) (Zip)

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2. Issuer Name and Ticker or Trading Symbol  
Federated Investors, Inc. FII

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3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)

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4. Statement for Month/Year  
5/2001

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5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

Executive Vice President and Chief Investment Officer - Global Equity and  
Fixed Income of Federated Global Investment Management Corp., Passport Research  
Limited, Federated Investment Counseling and Federated Investment Management  
Company.

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7. Individual or Joint/Group Filing (Check Applicable Limit)

Form filed by One Reporting Person  
\_\_\_\_\_ Form filed by More than One Reporting Person

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Table I -- Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Mon/day/year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
		Code	V	Amount	(A) or (D)	Price
Class B Common Stock	5/15/2001	M		60,800	A	\$1.2867
Class B Common Stock	5/15/2001	S		60,800	D	\$31.2656
Class B Common Stock	5/16/2001	M		131,000	A	\$1.2867
Class B Common Stock	5/16/2001	S		131,000	D	\$31.2583
Class B Common Stock	5/17/2001	M		100,000	A	\$1.2867
Class B Common Stock	5/17/2001	S		100,000	D	\$31.3970
						300,004
						D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

FORM 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable or Expiration Date (Month/Day/Year)
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			Code	V	(A)	(D)	Date Exercisable
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Employee Stock Options (Right to purchase)	\$1.2867	5/15/2001	M			60,800	12/31/2001
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Employee Stock Options (Right to purchase)	\$1.2867	5/16/2001	M			131,000	12/31/2001
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Employee Stock Options (Right to purchase)	\$1.2867	5/17/2001	M			100,000	12/31/2001
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7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned at End of Month (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or indirect (I) (Instr. 4)
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 Title           Amount or  
                   Number of  
                   Shares  
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Class B           60,800  
 Common Stock  
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Class B           131,000  
 Common Stock  
 -----

Class B           100,000                                   98,200                                   D  
 Common Stock  
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Explanation of Responses:

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal  
 Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If  
 space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information  
 contained in this form are not required to respond unless the form displays a  
 currently valid OMB number.

/s/ Henry A. Frantzen

June 8, 2001

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 \*\*Signature of Reporting Person

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 Date