

BEZOS JEFFREY P
Form 4
November 03, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
BEZOS JEFFREY P

(Last) (First) (Middle)

P.O. BOX 81226

(Street)

SEATTLE, WA 98108-1226

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
AMAZON COM INC [AMZN]

3. Date of Earliest Transaction
(Month/Day/Year)
11/01/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chairman, CEO and President

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$.01 per share	11/01/2017		S ⁽¹⁾	5,100 D	\$ 1,099.7726 (2)	79,891,433 D	
Common Stock, par value \$.01 per share	11/01/2017		S ⁽¹⁾	51,375 D	\$ 1,101.1047 (3)	79,840,058 D	
Common Stock, par	11/01/2017		S ⁽¹⁾	67,349 D	\$ 1,101.9458	79,772,709 D	

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value					(4)		
\$.01 per							
share							
Common							
Stock, par					\$		
value	11/01/2017	S ⁽¹⁾	79,121	D	1,102.8852	79,693,588	D
\$.01 per					(5)		
share							
Common							
Stock, par					\$		
value	11/01/2017	S ⁽¹⁾	68,612	D	1,103.9384	79,624,976	D
\$.01 per					(6)		
share							
Common							
Stock, par					\$		
value	11/01/2017	S ⁽¹⁾	65,110	D	1,104.9956	79,559,866	D
\$.01 per					(7)		
share							
Common							
Stock, par					\$		
value	11/01/2017	S ⁽¹⁾	16,315	D	1,105.7533	79,543,551	D
\$.01 per					(8)		
share							
Common							
Stock, par					\$		
value	11/01/2017	S ⁽¹⁾	24,481	D	1,106.9237	79,519,070	D
\$.01 per					(9)		
share							
Common							
Stock, par					\$		
value	11/01/2017	S ⁽¹⁾	13,012	D	1,107.918	79,506,058	D
\$.01 per					(10)		
share							
Common							
Stock, par					\$		
value	11/01/2017	S ⁽¹⁾	635	D	1,108.7546	79,505,423	D
\$.01 per					(11)		
share							
Common							
Stock, par					\$		
value	11/02/2017	S ⁽¹⁾	3,473	D	1,087.8337	79,501,950	D
\$.01 per					(12)		
share							
Common	11/02/2017	S ⁽¹⁾	13,157	D	\$	79,488,793	D
Stock, par					1,088.6783		
value					(13)		

\$.01 per
share

Common
Stock, par

value 11/02/2017

S⁽¹⁾

19,561 D

\$

1,089.7586

79,469,232 D

(14)

\$.01 per
share

Common
Stock, par

value 11/02/2017

S⁽¹⁾

11,122 D

\$

1,090.9141

79,458,110 D

(15)

\$.01 per
share

Common
Stock, par

value 11/02/2017

S⁽¹⁾

11,864 D

\$

1,092.1637

79,446,246 D

(16)

\$.01 per
share

Common
Stock, par

value 11/02/2017

S⁽¹⁾

15,214 D

\$

1,093.3235

79,431,032 D

(17)

\$.01 per
share

Common
Stock, par

value 11/02/2017

S⁽¹⁾

21,642 D

\$

1,094.1041

79,409,390 D

(18)

\$.01 per
share

Common
Stock, par

value 11/02/2017

S⁽¹⁾

69,445 D

\$

1,095.2623

79,339,945 D

(19)

\$.01 per
share

Common
Stock, par

value 11/02/2017

S⁽¹⁾

61,495 D

\$

1,096.0773

79,278,450 D

(20)

\$.01 per
share

Common
Stock, par

value 11/02/2017

S⁽¹⁾

44,413 D

\$

1,097.1839

79,234,037 D

(21)

\$.01 per
share

Common
Stock, par

value 11/02/2017

S⁽¹⁾

64,209 D

\$

1,098.1703

79,169,828 D

(22)

\$.01 per

share

Common
Stock, par
value 11/02/2017
\$.01 per
share

S ⁽¹⁾	35,784	D	\$	1,099.1325	79,134,044	D
				<u>(23)</u>		

Common
Stock, par
value 11/02/2017
\$.01 per
share

S ⁽¹⁾	14,517	D	\$	1,100.3021	79,119,527	D
				<u>(24)</u>		

Common
Stock, par
value 11/02/2017
\$.01 per
share

S ⁽¹⁾	5,160	D	\$	1,101.017	79,114,367	D
				<u>(25)</u>		

Common
Stock, par
value 11/02/2017
\$.01 per
share

S ⁽¹⁾	54	D	\$	1,101.93	79,114,313	D
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Common
Stock, par
value 11/03/2017
\$.01 per
share

S ⁽¹⁾	14,129	D	\$	1,089.4376	79,100,184	D
				<u>(26)</u>		

Common
Stock, par
value 11/03/2017
\$.01 per
share

S ⁽¹⁾	80,871	D	\$	1,090.2748	79,019,313	D
				<u>(27)</u>		

Common
Stock, par
value 11/03/2017
\$.01 per
share

S ⁽¹⁾	66,202	D	\$	1,091.4497	78,953,111	D
				<u>(28)</u>		

Common
Stock, par
value 11/03/2017
\$.01 per
share

S ⁽¹⁾	51,673	D	\$	1,092.333	78,901,438	D
				<u>(29)</u>		

Common
Stock, par
value 11/03/2017
\$.01 per
share

S ⁽¹⁾	4,905	D	\$	1,093.4336	78,896,533	D
				<u>(30)</u>		

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BEZOS JEFFREY P P.O. BOX 81226 SEATTLE, WA 98108-1226	X	X	Chairman, CEO and President	

Signatures

/s/ PAUL DAUBER, attorney-in-fact for Jeffrey P. Bezos, Chairman of the Board, Chief
Executive Officer and President

11/03/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Represents the weighted average sale price. The highest price at which shares were sold was \$1,100.26 and the lowest price at which shares were sold was \$1,099.30.
- (3) Represents the weighted average sale price. The highest price at which shares were sold was \$1,101.36 and the lowest price at which shares were sold was \$1,100.40.
- (4) Represents the weighted average sale price. The highest price at which shares were sold was \$1,102.40 and the lowest price at which shares were sold was \$1,101.41.
- (5)

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Represents the weighted average sale price. The highest price at which shares were sold was \$1,103.40 and the lowest price at which shares were sold was \$1,102.41.

- (6) Represents the weighted average sale price. The highest price at which shares were sold was \$1,104.40 and the lowest price at which shares were sold was \$1,103.41.
- (7) Represents the weighted average sale price. The highest price at which shares were sold was \$1,105.41 and the lowest price at which shares were sold was \$1,104.42.
- (8) Represents the weighted average sale price. The highest price at which shares were sold was \$1,106.39 and the lowest price at which shares were sold was \$1,105.42.
- (9) Represents the weighted average sale price. The highest price at which shares were sold was \$1,107.35 and the lowest price at which shares were sold was \$1,106.43.
- (10) Represents the weighted average sale price. The highest price at which shares were sold was \$1,108.45 and the lowest price at which shares were sold was \$1,107.55.
- (11) Represents the weighted average sale price. The highest price at which shares were sold was \$1,108.92 and the lowest price at which shares were sold was \$1,108.71.
- (12) Represents the weighted average sale price. The highest price at which shares were sold was \$1,088.26 and the lowest price at which shares were sold was \$1,087.35.
- (13) Represents the weighted average sale price. The highest price at which shares were sold was \$1,089.23 and the lowest price at which shares were sold was \$1,088.37.
- (14) Represents the weighted average sale price. The highest price at which shares were sold was \$1,090.45 and the lowest price at which shares were sold was \$1,089.46.
- (15) Represents the weighted average sale price. The highest price at which shares were sold was \$1,091.39 and the lowest price at which shares were sold was \$1,090.46.
- (16) Represents the weighted average sale price. The highest price at which shares were sold was \$1,092.50 and the lowest price at which shares were sold was \$1,091.63.
- (17) Represents the weighted average sale price. The highest price at which shares were sold was \$1,093.65 and the lowest price at which shares were sold was \$1,092.67.
- (18) Represents the weighted average sale price. The highest price at which shares were sold was \$1,094.65 and the lowest price at which shares were sold was \$1,093.67.
- (19) Represents the weighted average sale price. The highest price at which shares were sold was \$1,095.64 and the lowest price at which shares were sold was \$1,094.67.
- (20) Represents the weighted average sale price. The highest price at which shares were sold was \$1,096.66 and the lowest price at which shares were sold was \$1,095.68.
- (21) Represents the weighted average sale price. The highest price at which shares were sold was \$1,097.67 and the lowest price at which shares were sold was \$1,096.69.
- (22) Represents the weighted average sale price. The highest price at which shares were sold was \$1,098.67 and the lowest price at which shares were sold was \$1,097.70.
- (23) Represents the weighted average sale price. The highest price at which shares were sold was \$1,099.65 and the lowest price at which shares were sold was \$1,098.70.
- (24) Represents the weighted average sale price. The highest price at which shares were sold was \$1,100.71 and the lowest price at which shares were sold was \$1,099.72.
- (25) Represents the weighted average sale price. The highest price at which shares were sold was \$1,101.64 and the lowest price at which shares were sold was \$1,100.73.
- (26) Represents the weighted average sale price. The highest price at which shares were sold was \$1,089.97 and the lowest price at which shares were sold was \$1,088.98.
- (27) Represents the weighted average sale price. The highest price at which shares were sold was \$1,090.94 and the lowest price at which shares were sold was \$1,089.98.
- (28) Represents the weighted average sale price. The highest price at which shares were sold was \$1,091.97 and the lowest price at which shares were sold was \$1,090.98.

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- (29) Represents the weighted average sale price. The highest price at which shares were sold was \$1,092.84 and the lowest price at which shares were sold was \$1,091.99.
- (30) Represents the weighted average sale price. The highest price at which shares were sold was \$1,093.84 and the lowest price at which shares were sold was \$1,093.02.

Remarks:

The reporting person undertakes to provide, upon request by the staff of the SEC, the issuer, or a security holder of the issuer,

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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