AMAZON COM INC

Form 4 May 17, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Olsavsky Brian T Issuer Symbol AMAZON COM INC [AMZN] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner _X__ Officer (give title Other (specify P.O. BOX 81226 05/15/2017 below) Senior Vice President and CFO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting SEATTLE, WA 98108-1226 Person

(City)	(State)

							1 015011		
(City)	(State)	(Zip) Tal	ble I - Non	-Derivativ	ve Sec	urities Aco	quired, Disposed	of, or Benefi	cially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securion(A) or Di (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
value \$.01 per share	05/15/2017		M	747	A	\$ 0	1,747	D	
Common Stock, par value \$.01 per share	05/15/2017		M	1,363	A	\$ 0	3,110	D	
Common Stock, par	05/15/2017		M	73	A	\$ 0	3,183	D	

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value \$.01 per share								
Common Stock, par value \$.01 per share	05/15/2017	M	150	A	\$ 0	3,333	D	
Common Stock, par value \$.01 per share	05/15/2017	S <u>(1)</u>	2,333	D	\$ 958.68	1,000	D	
Common Stock, par value \$.01 per share						63.949	I	Held by the reporting person's Amazon.com 401(k) plan account
Reminder: Re	port on a separate line for each class of sec	urities ben	Pers	sons	who respo	indirectly. ond to the colleged in this form		SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

number.

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	ode Securities		6. Date Exercisab Expiration Date (Month/Day/Year	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun or Number of Shares
Restricted Stock Unit Award	\$ 0 (2)	05/15/2017		M		747	05/15/2016(3)	02/15/2021	Common Stock, par value \$.01 per share	747
Restricted Stock Unit	\$ 0 (2)	05/15/2017		M		1,363	05/15/2017(4)	02/15/2018	Common Stock, par	1,363

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Award							value \$.01 per share	
Restricted Stock Unit Award	\$ 0 (2)	05/15/2017	М	73	05/15/2017 <u>(5)</u>	02/15/2019	Common Stock, par value \$.01 per share	73
Restricted Stock Unit Award	\$ 0 (2)	05/15/2017	М	150	05/15/2017 <u>(6)</u>	02/15/2022	Common Stock, par value \$.01 per share	150

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Olsavsky Brian T P.O. BOX 81226

Senior Vice President and CFO

SEATTLE, WA 98108-1226

Signatures

/s/ Brian T. Olsavsky, Senior Vice President and CFO

05/16/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Converts into Common Stock on a one-for-one basis.
 - This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 296 shares on each of May 15, 2016 and August 15, 2016; 297 shares on each of November 15, 2016 and February 15, 2017; 747 shares on May 15, 2017; 748 shares on each of August 15,
- (3) 2017, November 15, 2017, and February 15, 2018; 781 shares on each of May 15, 2018 and August 15, 2018; 782 shares on each of November 15, 2018 and February 15, 2019; 1,479 shares on May 15, 2019; 1,480 shares on each of August 15, 2019, November 15, 2019, and February 15, 2020; 1,137 shares on May 15, 2020; and 1,138 shares on each of August 15, 2020, November 15, 2020, and February 15, 2021.
- (4) This award vests at the rate of: 1,363 shares on each of May 15, 2017, August 15, 2017, and November 15, 2017; and 1,361 shares on February 15, 2018.
- (5) This award vests at the rate of: 73 shares on each of May 15, 2017, August 15, 2017, November 15, 2017, and February 15, 2018; and 1,117 shares on each of May 15, 2018, August 15, 2018, November 15 2018, and February 15, 2019.
- (6) This award vests based upon the following vesting schedule and the satisfaction of certain business criteria intended to qualify the award as tax-deductible compensation under Section 162(m) of the Internal Revenue Code: 150 shares on each of May 15, 2017, August 15, 2017, and November 15, 2017; 151 shares on February 15, 2018; 130 shares on each of May 15, 2018 and August 15, 2018; 131 shares on each of November 15, 2018 and February 15, 2019; 284 shares on May 15, 2019; 285 shares on each of August 15, 2019, November 15, 2019, and February 15, 2020; 239 shares on each of May 15, 2020, August 15, 2020, November 15, 2020, and February 15, 2021; and

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1,060 shares on each of May 15, 2021, August 15, 2021, November 15, 2021, and February 15, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.