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UNITED TECHNOLOGIES CORP /DE/

Form 4

April 11, 2003

SEC Form 4

| FORM 4 | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP | | | | | | | OMB APPROVAL | | | |
|--|--|---|--|----------------|---|-----------|---|--|--|--|--|
| [] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). | | | | | | | | OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response 0.5 | | | |
| 1. Name and Address of Report McCormick, Richard D. (Last) (First) | 2. Issuer Name and Ticker or Trading Symbol United Technologies Corporation UTX | | 4. Statement for (Month/Year) April 9, 2003 | | 6. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director10% | | | | | | |
| United Technologies Corporat One Financial Plaza (Street) Hartford, CT 06101 (City) (State) | 2 I.B.S. Identification | | 5. If Amendment, Date of Original (Month/Year) | | 7. Individu Filing (C | Officer | | | | | |
| | • • | 3. Transaction Code and Voluntary Code (Instr. 8) | Amount Price | ired (A) or 5) | 5. Amount of Securities Beneficially Owned at End ofMonth (Instr. 3 and 4) | or Ind |) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |

directly or indirectly.

(over) SEC 1474 (3-99)

McCormick, Richard D. - April 2003

Form 4 (continued)

| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | |
|--|----------|-------------|-------------|------------------|--|-------------------------|------------------|--------------|----------------|---|
| Derivative Security | Exercise | Transaction | Transaction | of Derivative | 6. Date Exercisable(DE) and Expiration | Amount of Underlying | of Derivative | Securities | Owner- ship | 11. Nature of Indirect Beneficial |
| (Instr. 3) | Price of | | Code | Securities | Date(ED) | Securities | Security | Beneficially | Form of | Ownership |

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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| | Derivative Security | (Month/ Day/ Year) | Voluntary (V) Code (Instr.8) | Acquired (A) or Disposed (D) Of (Instr. 3,4 and 5) | | (Instr. 3 and 4) | (Instr.5) | at End of Month (Instr.4) | Derivative Security: Direct (D) or Indirect (I) | (Instr.4) |
|---|------------------------|--------------------------|------------------------------|--|-------------------------|--------------------------------|-----------|---------------------------------|---|-----------|
| | | | Code V | | (DE) (ED) | | | | | |
| Non-Qualified Stock Option (right to buy) | | 04/09/2003 | A. I | (A) 4,000.000 | 04/09/2006 04/08/2013 | Common Stock - 4,000.000 | | 4,000.000 | D | |
| | | | | | | | | | | |

Explanation of Responses :

required to respond unless the form displays a

currently valid OMB number.

| ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. | By: By: Charles F. Hildebrand, Attorney-in-Fact |
|--|--|
| See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). | |
| | ** Signature of Reporting Person |
| Note: File three copies of this Form, one of which must be manually signed. If space is | Date |
| insufficient, | Power of Attorney |
| See Instruction 6 for procedure. | |
| Potential persons who are to respond to the collection of information contained in this form | Page 2 SEC 1474 (3-99) |